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# **REMARKS**

# **Specification**

The specification is objected to because Figures 14 and 15 each comprise a number of sequences but there is no indication in the figure or the description of the figure as to the associated SEQ ID NOs.

Applicant submits that the specification had been amended in an amendment filed on February 9, 2001 to include the associated SEQ ID NOs. in the description of the figure, i.e., on page 32. A copy of the filed amendment is enclosed herein.

The specification is also objected to because of its recitation of "asparagene" on page 16, its recitation of "901C" on page 7, and its recitation of "26143" on page 8. Applicants have corrected these typographical errors.

The specification has been amended on page 56 to incorporate the structures of the exo motifs as taught in Derbyshire V et al., (1995), *Methods. Enzymol.* 262:363 (Exhibit A), which is incorporated by reference in its entirety as stated on page 33, line 5. Support for such amendments can be found in Derbyshire V et al., (1995), e.g., on page 383, first paragraph. No new matter is added.

In view of the above amendments in the specification, Applicant respectfully requests the objection on the specification be withdrawn.

#### Drawings

Figures 4-8 are being objected to because of alleged half-tone quality; Figures 7, 10-12, 14-15 are being objected to because of their margins; Figure 4 is objected to because it "should be labeled 4A-4C; Figure 13 is objected to because the shading should be removed.

Applicant submits that Figures 4-8 are resubmitted with better quality; the margins of Figures 7, 10-12 and 14-15 are fixed to comply with 37 C.F.R. §1.84(g); the shading in Figure

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13 is removed. As to the label of Figure 4, Applicant submits that it is not clear as to how the Examiner wants the figure to be relabeled because the figure contains one single sequence. Applicant has relabeled Figure 5 as 5A-5C. A marked-up sheet showing the changes for Figure 5 is attached.

In view of the above amendment, Applicants respectfully request the objection on the figure be withdrawn.

# Claim Objection

Claim 32 is objected to because of its recitation of "asparagene." Claim 32 is cancelled.

# Claim Rejections

Claims 2, 3, 6-10, 12-47 and 85-88 are cancelled. Claims 89-127 are currently added. As the result of the present claim amendment, claims 1, 5 and 89-127 are pending. Claims 1 and 5 are allowed.

Claim Rejections — 35 U.S.C. §112, First Paragraph, Lack of Enablement

Applicants have clarified the rejections with the Examiner in our interview on July 7, 2003, that claims currently rejected under 35 U.S.C. §112, First Paragraph for alleged <u>lack of enablement</u> are claims 2 and 88 only. The Examiner has further confirmed that the previous enablement rejections on claims 6-15 were withdrawn. Claims 2 and 88 are rejected on the same grounds as set forth for claims 6-15 in the previous Office Action of May 7, 2002, that is, the use of *Thermococcus* species JDF-3 is essential and that a deposit of the organism is required for claims 2 and 88.

Both claims 2 and 88 are cancelled, therefore making the enablement rejections moot, however, Applicants maintain the position that the deposit is not necessary because both claims 2 and 88 are limited by the sequence of SEQ ID NO: 2. In particular, claim 2 is dependent from claim 1, therefore further limits the allowed claim 1 in that the DNA polymerase having an

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amino acid sequence presented in SEQ ID NO:2 is isolated from *Thermococcus* species JDF-3. Given the polynucleotide sequence of SEQ ID NO: 2 and the knowledge in the art of recombinant DNA and the teaching of the present specification, a skilled artisan can make and use the polymerase as recited in claims 2 and 88.

Claim Rejections—35 U.S.C. §112, First Paragraph, Lack of Written Description

Claims 6-10, 12-47, and 85-87 are rejected for lack of written description based on the same grounds as set forth in the previous Office Action of May 7, 2002.

The previous Office Action of May 7, 2002 states that "while Applicants have described a number of additional Family B polymerases and cited references which compare the sequences of many of these polymerases, Applicants have not described mutations which result in the desired polymerase properties in addition to those referred to in the previous Office Action." The Office Action further states that "the specification only provides the representative species encompassed by these claims, wherein said mutant polymerase is from *Thermococcus* species JDF-3 and the mutation is selected from the group consisting of mutations at residues: S345, P410, D141, E143, A485 and L408, of SEQ ID NO: 2." The Office Action continues:

"While it is admitted that Applicants disclose a number of mutations, these are not representative of the genus of mutations claimed which encompasses any and all mutations of any Family B or *Thermococcus* species JDF-3 DNA polymerase which results in a decrease in 3' to 5' exonuclease activity or a reduction in discrimination against non-conventional nucleotides."

The Office Action concludes that "Given this lack of additional representative species encompassed by the claims, Applicants have failed to sufficiently describe the claimed invention in such full, clear, concise and exact terms that a skilled artisan would recognize applicants were in possession of the claimed invention."

In summary, the claims 6-10, 12-47, and 85-87 are rejected for their recitation of phrases "family B DNA polymerase" and/or "3' to 5' exonuclease deficient."

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Applicants submit that claims 6-10, 12-47, and 85-87 are cancelled. New claims 89-127 are added to replace the cancelled claims. The new added claims do not recite the phrase "3' to 5' exonuclease deficient," claims 99-105. 101-102, and 124-125, however, do recite the phrase "family B DNA polymerase." The added claims 89-127 find support in the cancelled claims and throughout the specification, they satisfy the written description requirement as described below.

MPEP 2163 provides the following guidelines for the written description requirement under 35 U.S.C. §112, First Paragraph:

"The analysis of whether the specification complies with the written description requirement calls for the examiner to compare the scope of the claim with the scope of the description to determine whether applicant has demonstrated possession of the claimed invention. Such a review is conducted from the standpoint of one of skill in the art at the time the application was filed (see, e.g., Wang Labs. v. Toshiba Corp., 993 F.2d 858, 865, 26 USPQ2d 1767, 1774 (Fed. Cir. 1993)) and should include a determination of the field of the invention and the level of skill and knowledge in the art." (Emphasis added)

Applicants submit claims 89-98, 108-123 and 126-127 are drawn to a DNA polymerase comprising a sequence of SEQ ID NO: 2 or a kit comprising such a DNA polymerase; claims 99-107 and 124-125 are drawn to a DNA polymerase comprising a sequence selected from the sequences as indicated by accession numbers listed in Table II or a kit comprising such a DNA polymerase. Claims 89-127 are further drawn to one or more amino acid mutations at specified amino acid positions or within specified regions recognized in the art. Applicants submit that, given the high level of skill in the art of recombinant DNA, the specification provides adequate support for the sequences recited in the claims 89-127 (i.e., SEQ ID NO: 2 and the sequences as indicated by accession numbers listed in Table II). Where certain claims are considered genus claims, e.g., for comprising one or more mutations within a specific region, the specification describes a representative number of species for each of the genus claims and provides adequate

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support. Claims 89-127, therefore, satisfy the written description requirement under the above MPEP guideline.

<u>First</u>, the specification provides sufficient description for each of currently added claims with respect to its limitation to comprising a specific amino acid sequence.

MPEP 2163 provides that written description may be satisfied buy the description of "identifying characteristic," and that "[f]or some biomolecules, examples of identifying characteristics include a *sequence*, *structure*, binding affinity, binding specificity, molecular weight, and length…"

Applicants submit that currently added claims 89-98, 108-123 and 126-127 are drawn to an isolated recombinant DNA polymerase comprising a sequence of SEQ ID NO: 2 and further comprising a mutation in specified region (e.g., exo motifs or Region II), or amino acid positions. Each of claims 89-98, 108-123 and 126-127 are therefore limited to comprising the sequence of SEQ ID NO: 2, with the exception that there are one or more mutations within the specified region (i.e., exo motifs or Region II) or at specific amino acid positions within the sequence of SEQ ID NO: 2.

The specification provide detailed teachings for SEQ ID NO: 2 (e.g., in Figure 2 and throughout the specification).

Currently added claims 99-105 and 124-125 are not limited to comprising SEQ ID NO: 2, however, they are limited to comprising a sequence selected from the sequences as indicated by accession numbers listed in Table II.

There are seventeen family B DNA polymerases listed in Table II (e.g., on pages 37-39). Each of the sequences in Table II is given its Genbank accession number, and each listed Genbank accession number represents only one family B DNA polymerase sequence. Claims 99-107 and 124-125 are limited to comprising one of the seventeen DNA polymerase sequences in Table II. Genbank accession number is well recognized in the art of recombinant DNA technology, one skilled in the art would have easily known what the sequence for a family B

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DNA polymerase is as recited in claims 99-107 and 124-125 by using the accession numbers provided in Table II.

# According to MPEP 2163:

"Generally, there is an inverse correlation between the level of skill and knowledge in the art and the specificity of disclosure necessary to satisfy the written description requirement. *Information which is well known in the art need not be described in detail in the specification*. See, e.g., Hybritech, Inc. v. Monoclonal Antibodies, Inc., 802 F.2d 1367, 1379-80, 231 USPQ 81, 90 (Fed. Cir. 1986)....

If a skilled artisan would have understood the inventor to be in possession of the claimed invention at the time of filing, even if every nuance of the claims is not explicitly described in the specification, then the adequate description requirement is met. See, e.g., Vas-Cath, 935 F.2d at 1563, 19 USPQ2d at 1116; Martin v. Johnson, 454 F.2d 746, 751, 172 USPQ 391, 395 (CCPA 1972) (stating "the description need not be in *ipsis verbis* [i.e., 'in the same words'] to be sufficient')." (Emphasis added)

Applicants submit that the level of skill in the art of recombinant DNA is high and that one skilled in the art would have understood that Applicants had possession of SEQ ID NO: 2 as recited in claims 89-98, 108-123 and 126-127 and the sequences as indicated by accession numbers listed in Table II as recited in claims 99-106 and 124-125 at the time of filing. Based on the above MPEP guideline, the teachings in the specification provide sufficient description with respect to SEQ ID NO: 2 and the sequences listed in Table II.

Second, the specification provides adequate description for each of claims 89-124 with respect to the specific regions (i.e., exo I, II, III, and Region II) in which mutations may occur.

As discussed above, all currently added claims are limited to having one or more mutations at specific amino acid positions and/or within four specific regions, i.e., exo I (DXE),

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exo II ( $NX_{2-3}FD$ ), exo III ( $YX_3D$ ) motif and Region II within SEQ ID NO: 2 or a sequence selected from the group consisting of sequences as indicated by accession numbers listed in Table II.

MPEP 2163 provides:

"The written description requirement for a claimed genus may be satisfied through sufficient description of a representative number of species by actual reduction to practice..., reduction to drawings..., or by disclosure of relevant, identifying characteristics, i.e., structure or other physical and/or chemical properties, by functional characteristics coupled with a known or disclosed correlation between function and structure, or by a combination of such identifying characteristics, sufficient to show the applicant was in possession of the claimed genus.... See Eli Lilly, 119 F.3d at 1568, 43 USPQ2d at 1406.

A 'representative number of species' means that the species which are adequately described are representative of the entire genus." (Emphasis added)

DNA polymerases comprising one or more mutations at specific amino acid positions or a specified region (e.g., exo I and Region II) within SEQ ID NO: 2, e.g., as recited in claims 89-98, 108-123 and 126-127, are described in the specification and are actually reduced to practice, for example, see 11-22, Figures 5-15. With respect to DNA polymerases comprising a Table II sequence and one or more mutations within the four specific regions (i.e., exo I, II, III or Region II), Applicants submit that the specification not only provides detailed description for the structure and function of the four regions, but it also provides a representative numbers of mutations within these regions.

With respect to exo motifs I, II, III, the amended specification teaches their structure requirements on page 56:

"DNA polymerases lacking 3'-5' exonuclease (proofreading) activity are preferred for applications requiring nucleotide analog incorporation (e.g., DNA

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sequencing) to prevent removal of nucleotide analogs after incorporation. The 3'-5' exonuclease activity associated with proofreading DNA polymerases can be reduced or abolished by mutagenesis. Sequence comparisons have identified three conserved motifs (exo I (DXE), II (NX2-3(F/Y)D), III (YX3D)) in the 3'-5' exonuclease domain of DNA polymerases (reviewed V. Derbyshire, J.K. Pinsonneault, and C.M. Joyce, Methods Enzymol. 262, 363 (1995)). Replacement of any of the conserved aspartic or glutamic acid residues with alanine has been shown to abolish the exonuclease activity of numerous DNA polymerases, including archaeal DNA polymerases such as Vent (H. Kong, R.B. Kucera, and W.E. Jack, J. Biol. Chem. 268, 1965 (1993)) and Pfu (Stratagene, unpublished). Conservative substitutions lead to reduced exonuclease activity, as shown for mutants of the archaeal 9° N-7 DNA polymerase (M.W. Southworth, H. Kong, R.B. Kucera, J. Ware, H. Jannasch, and F.B. Perler, Proc. Natl. Acad. Sci. 93, 5281 (1996))."

Thus, the specification as recited above specifically describes the structure of the exo motifs and their relationship to the function of exonuclease activity. Each of the exo motifs contains *only 3 to 6 amino acids*, among which 2-3 amino acids are conserved for family B DNA polymerases.

For claims drawn to DNA polymerase comprising SEQ ID NO: 2, the specification as recited above further teaches four mutants within exo I which reduce 3' to 5' Exo activity. The specification further provides description of the structure/function relationship between the conserved motifs and particular amino acid changes (i.e., replacement of glutamic acid or aspartic acid residues with alanine) one could make within those motifs (e.g., see above). Applicants have therefore provided an actual reduction to practice of four individual embodiments, plus functional characteristics (i.e., reduced Exo activity) coupled with a known and disclosed correlation between function and structure.

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The specification also describes six different individual mutants of *Thermococcus* species JDF-3 Family B DNA polymerase that target a region correlated with 3' to 5' Exo activity in related polymerases (e.g., pages 11-22, and pages 57-58). These include D141A, D141N, D141S, D141T, D141E and E143A. The specification also describes the double mutant D141A + E143A (e.g., on page 58), for a total of 7 mutants within the three exo motifs. Three of the single mutants and the double mutant exhibited dramatically reduced 3' to 5' Exo activity. Thus, the specification provides an actual reduction to practice through four working examples of different mutants that fall within the claims reciting exo I, exo II or exo III motifs.

For claims 99-107 and 124-125, which are drawn to a sequence selected from the sequences as indicated by accession numbers listed in Table II, Applicants submit that one skilled in the art would recognize the exo I, II, III motifs in these Table II family B DNA polymerase sequences. The exo I, II, III motifs are well conserved among the family B DNA polymerases listed in Table II. One skilled in the art can easily take one sequence as indicated by an accession number listed in Table II and align it to another family B DNA polymerase sequence (e.g., SEQ ID NO: 2) to identify the corresponding exo I, II, and III motifs. Applicants submit herewith an amino acid sequence alignment of six different family B DNA polymerases taught in Table II (Exhibit B) to show that the three exo motifs in other family B DNA polymerases align with those of SEQ ID NO: 2 (i.e., the JDF-3 sequence) and can be easily identified by one skilled in the art.

According to MPEP 2163 guideline "when there is substantial variation within the genus, one must describe a sufficient variety of species to reflect the variation within the genus. On the other hand, there may be situations where one species adequately supports a genus.... What constitutes a "representative number" is an inverse function of the skill and knowledge in the art. Satisfactory disclosure of a "representative number" depends on whether one of skill in the art would recognize that the applicant was in possession of the necessary common attributes or features of the elements possessed by the members of the genus in view of the species disclosed.... Description of a representative number of species does not require the description

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to be of such specificity that it would provide individual support for each species that the genus embraces."

Because of the high level of skill in the art of recombinant DNA, and because the specification provides a number of mutants within the exo motifs (i.e., exo I, exo II, and exo III), along with a description of conserved structural regions involved in such functional activity and specific mutations one should make in those regions in order to reduce 3' to 5' Exo activity, Applicants submit that the written description requirement is satisfied with regard to the exo motifs.

With respect to Region II (DXXSLYPSII), Applicants submit that the specification satisfy the written description requirement under 35 U.S.C. §112, first paragraph.

When the claims (i.e., 89-98, 108-123 and 126-127) are drawn to a DNA polymerase comprising SEQ ID NO: 2, this Region II consensus sequence is present at amino acids 404 to 413 of SEQ ID NO: 2. Tables V and VI on pages 74 and 75 of the specification describe the isolation and testing of 12 DNA polymerase mutants with mutations within this consensus region of SEQ ID NO: 2. Specifically, Table VI describes the isolation of 7 mutants with a primary mutation at L408 (3 are L408H, 4 are L408F) and 4 mutants with a primary mutation at P410 (all P410 L). Table V describes an additional P410L mutant (mutant p11). Thus, the specification provides 12 mutants, representing 3 different mutations within the Region II consensus sequence of SEQ ID NO: 2 as recited in claims 91-98, 110-121 and 126. Each of these mutants has a reduced discrimination against non-conventional nucleotides.

In addition to the description of the mutants in Tables V and VI, the specification provides additional description of numerous double mutants (a total of over 60 mutants are described in the specification). Ten such double mutants comprise at least one mutation within the Region II consensus region within the sequence of SEQ ID NO: 2. These include: L408H + A485T, L408F + A485T, and P410L + A485T described on page 15, lines 14-22; P410H + S345P and P410L + S345P on page 16, lines 1-3; L408H + V437 and L408H + L478 on page 17, lines 4-7; and A485T + Y409V, L408 mutation + Y409V and P410 mutation + Y409V. In all,

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five different amino acid mutations are described within the Region II consensus sequence recited in claim 10: L408H, L408F, P410L, P410H and P409V.

The specification further addresses the function of the Region II consensus structure of SEQ ID NO: 2 at page 52, where it states:

"The domains of relevance in 17 of the 40 purified mutants were sequenced. Most randomly mutated clones contained more than one mutation in the regions sequenced but all mutants contained mutations at one of three sites. Mutations predicted to confer an enhanced ddNTP uptake phenotype were introduced into the progenitor exonuclease deficient DNA polymerase sequence by site-directed mutagenesis to eliminate ancillary mutations which were not expected to contribute to the improved dideoxynucleotide uptake phenotype.

Sixteen of the seventeen JDF-3 DNA polymerase mutations were found in Region II (motif A) on either side of the tyrosine in the consensus sequence 404 DxxSLYPSII 413. These mutations consisted of DFRSLYLSII (P410L), DFRSHYPSII (L408H) and DFRSFYPSII (L408F)." (page 52, lines 13-23; emphasis added)

This passage points out that the mutations expected to have an effect on improved dideoxynucleotide uptake were centered in the Region II consensus sequence of SEQ ID NO: 2 as recited in claims 91-98, 110-123 and 126-127. This description provides a further structure/function correlation between the Region II consensus DXXSLYPSII and nucleotide discrimination.

With respect to claims (e.g., 99-107 and 124-125) drawn to a DNA polymerase comprising a sequence selected from the sequences as indicated by accession numbers listed in Table II, Applicants submit that the specification provides description of other Family B DNA polymerases mutated in the Region II consensus and the effects of these mutations on discrimination against non-conventional nucleotides at page 7, line 20 to page 9, line 19. In

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particular, in reference to Y409 of the consensus sequence, the specification states "Mutagenesis studies done in Family B DNA polymerases also implicate the containing the analogous Y in Region II in dNTP incorporation and ribose selectivity" (see p. 8, lines 11-12). The specification then continues to describe additional Region II mutations in other Family B DNA polymerases including the human DNA polymerase α (mutation at the site corresponding to Y409), bacteriophage T4 DNA polymerase (two mutations at the site corresponding to L408), bacteriophage φ29 DNA polymerase (mutations at the sites corresponding to L408 and P410), and the archaeal Family B DNA polymerase from *Thermococcus litoralis* (VENT; three mutations at a site corresponding to Y409) (see p. 8, line 12 to page 9, line 19). These mutants, the mutations of which each fall within the Region II consensus DXXSLYPSII, are discussed with regard to the impact of the mutations on nucleotide discrimination. Thus, the specification makes it clear that Region II structure, which is defined by the consensus DXXSLYPSII, is important in the function of nucleotide discrimination by Family B DNA polymerases.

In addition, Applicants submit that Region II sequence is conserved among the family B DNA polymerases listed in Table II. One skilled in the art can easily take a family B DNA polymerase sequence as indicated by an accession number listed in Table II and align it to another family B DNA polymerase (e.g., SEQ ID NO: 2) to identify the corresponding Region II sequence in this family B DNA polymerases. Applicants submit herewith an amino acid sequence alignment of six different family B DNA polymerases (Exhibit C) to show that Region II in family B DNA polymerases aligns with that of SEQ ID NO: 2 (i.e., JDF-3 sequence) and can be easily identified by one skilled in the art.

Given the description in the specification of the structure/function relationship between the Region II consensus sequence DXXSLYPSII and reduced discrimination against non-conventional nucleotides, <u>and</u> the numerous (at least 22, given 12 mutants in Tables V and VI and 10 double mutants described on pages 15-17) single and double mutants described in the specification that have mutations falling within this consensus sequence of Region II, Applicants submit that a representative number of reduced discrimination mutants in the region recited in claims 99-107 and 124-125 have been described to show that Applicants were in possession of

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the claimed genus. That is, applicants have adequately described a representative number of species within the claimed genus of isolated recombinant DNA polymerases comprising SEQ ID NO: 2 or a sequence selected from the sequences as indicated by accession numbers listed in Table II and further comprising one or more mutations within the Region II consensus sequence DXXSLYPSII.

In view of the above, Applicants submit that the currently added claims 89-127 satisfy the written description requirement under 35 U.S.C. §112, First Paragraph.

Claim Rejections -- 35 U.S.C. §112, Second Paragraph

Claim 85 is rejected for reciting the limitation "said mutation in Region II" due to insufficient antecedent basis.

Claim 85 is cancelled.

Claim Rejections -- 35 U.S.C. §102(a)

Claims 6, 10, 14, 15, and 87 are rejected under 35 U.S.C. §102(a) as being anticipated by Gardner et al. The Examiner states that Gardner teaches a Y412V Vent DNA polymerase variant that incorporates ribonucleotides at least 200 fold more efficiently than the wild-type enzyme.

Applicants submit that claims 6, 10, 14, 15 and 87 are cancelled.

The currently added claims 89-98, 108-123 and 126-127 are drawn to a DNA polymerase comprising a sequence of SEQ ID NO: 2 and one or more mutations at specific amino acid positions or specific regions, including a DNA polymerase comprising a sequence of SEQ ID NO: 2 and a Y412V mutation. Y412V is a mutation within Region II of Vent DNA polymerase (e.g., see Exhibit B). Gardner does not teach a DNA polymerase comprising a sequence of SEQ ID NO: 2 and a Y412V mutation. Therefore claims 89-98, 108-123 and 126-127 are not anticipated by Gardner.

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The currently added claims 99-100, 103-107 and 124-125 are drawn to a family B DNA polymerase comprising a sequence selected from the sequences as indicated by accession numbers listed in Table II, including vent DNA polymerase, and may further comprising one or more mutations at specific amino acid positions or specific regions. The claims as written only recites a mutation at the leucine and/or proline positions in the Region II consensus sequence, therefore, do not include a mutation at Y412 as taught in Gardner. Therefore, Gardner does not anticipate claims 99-100, 103-107 and 124-125.

The currently added claims 101-102 are also drawn to a family B DNA polymerase comprising a sequence selected from the sequences as indicated by accession numbers listed in Table II, including vent DNA polymerase, and may further comprising one or more mutations at specific amino acid positions or specific regions. The claims as written recites a mutation in the region II consensus sequence, including Y412, however, the claims also recite an additional mutation at an amino acid corresponding to A485 of SEQ ID NO: 2. Gardner does not teach a vent DNA polymerase comprising a mutation in Region II and a mutation at the position corresponding to A485 of SEQ ID NO: 2, therefore, it does not anticipate claims 101-102.

Claim Rejections -- 35 U.S.C. §102(b)

Claims 6, 10, 14, 15 and 87 are rejected under 35 U.S.C. §102(b) as being anticipated by Dong-et al. The Examiner states that Dong et al. teaches a mutant of the family B DNA polymerase, i.e., human DNA polymerase α, comprising Y865S and Y865F, where the mutant is 3' to 5' exonuclease deficient, and has a reduced discrimination against non-conventional nucleotides.

Applicants submit that claims 6, 10, 14, 15 and 87 are cancelled.

The currently added claims 89-98, 108-123 and 126-127 are drawn to a DNA polymerase comprising a sequence of SEQ ID NO: 2 and one or more mutations at specific amino acid positions or specific regions. Dong et al. does not teach a DNA polymerase comprising SEQ ID NO: 2, therefore, does not anticipate claims 89-98, 108-123 and 126-127.

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The currently added claims 99-107 and 124-125 are drawn to a family B DNA polymerase comprising a sequence selected from the sequences as indicated by accession numbers listed in Table II, not including human DNA polymerase  $\alpha$ . Dong et al. does not teach a sequence selected from the sequences as indicated by accession numbers listed in Table II, therefore, it does not anticipate the currently added claims 99-107 and 124-125.

Applicants submit that all claims (i.e., claims 1, 5, 89-127) are allowable as currently written and respectfully requests favorable action by the Examiner. If the Examiner believes that a telephone conversation with Applicant's attorney/agent would expedite prosecution of this application, the Examiner is cordially invited to call the undersigned attorney/agent of record.

Date: July 25, 2003

Name: Kathleen M. Williams

Registration No.: 34,380 Customer No.: 27495 Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Respectfully submitted.

Tel: 617-239-0100

isualization of Areas of Clearing

ning, the stack is removed and the gel ion/reaction buffer, typically in a small buffer for M-MuLV RT consists of 50 , 50 mM NaCl, and 2 mM MnCl<sub>2</sub>. The s above with the substitution of 10 mM hould be as fresh as possible and added nt oxidation of the MnCl<sub>2</sub>. If the buffer idized and no activity will be detected. bH of the Tris-HCl from 8.0 to 7.5-7.2 I should shake for about 48 hr at either the buffer approximately every 12 hr. portant, helping remove residual SDS m the gel. After 48 hr, the wet gel is ure to see if enough renaturation and s placed in a sealable plastic bag, which c wrap. A wet gel typically only needs et an adequate exposure. If not enough b zones of clearing are detected), we let e or two more days. Finally the gel is b film by standard methods. An example iig. 3.

NA: DNA and RNA: RNA substrates, ever, we observed that these substrates ring the electrophoresis or the renatu-

everal RNA: DNA and RNA: RNA coli, and it may be necessary to experiercentages of acrylamide to resolve the ting RNases H. Escherichia coli RNase D) migrate far from RT, and serve as Nase III-deficient strains of E. coli can s if necessary.4,16,19

of Public Health Service and CA30488 to SPG and estigator of the Howard Hughes Medical Institute.

# [28] Structure-Function Analysis of $3' \rightarrow 5'$ -Exonuclease of DNA Polymerases

3' → 5'-EXONUCLEASE

By Victoria Derbyshire, Julia K. Pinsonneault, and CATHERINE M. JOYCE

#### Introduction

The  $3' \rightarrow 5'$ -exonuclease activity of DNA polymerases acts in opposition to the polymerase activity and serves as a proofreader, by removing polymerase errors. This activity is present in the majority of DNA-dependent DNA polymerases but absent in the reverse transcriptase (RT) family. The  $3' \rightarrow 5'$ -exonuclease is usually part of the same polypeptide chain as the DNA polymerase; an exception is the multisubunit DNA polymerase III of Escherichia coli, where the editing function is present on a separate subunit ( $\varepsilon$ ) within the core polymerase.<sup>2</sup> In the structure of the Klenow fragment of DNA polymerase I, the  $3' \rightarrow 5'$ -exonuclease is located on a discrete structural domain,3 and it seems likely that other DNA polymerases are arranged in a similar modular fashion. The preferred substrate for the exonuclease is single-stranded DNA, and a variety of data are consistent with the idea that the primer terminus of a duplex DNA substrate is bound as a "frayed" or single-stranded end at the exonuclease active site. 4 Of all the reactions catalyzed by DNA polymerases, the  $3' \rightarrow 5'$ -exonuclease is probably the best understood at a mechanistic level, thanks to the crystallographic data obtained with the Klenow fragment of E. coli DNA polymerase I. By studying cocrystals containing either the substrate DNA or the product (dNMP) at the  $3' \rightarrow 5'$ -exonuclease site, it has been possible to identify the side chains that interact with substrate or product and form the active site<sup>3.5,6</sup> (Fig. 1). The structural data provided the basis for a detailed mutagenesis study of the roles of these side chains in the exonuclease reaction. 7.8 The mutational study contributed to the crystallographic characterization

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<sup>&</sup>lt;sup>1</sup> A. Kornberg and T. A. Baker, "DNA Replication" p. 113. Freeman, San Francisco, 1992.

<sup>&</sup>lt;sup>2</sup> R. H. Scheuermann and H. Echols, Proc. Natl. Acad. Sci. USA 81, 7747 (1984).

<sup>&</sup>lt;sup>3</sup> D. L. Ollis, P. Brick, R. Hamlin, N. G. Xuong, and T. A. Steitz, Nature 313, 762 (1985).

<sup>&</sup>lt;sup>4</sup> L. S. Beese, V. Derbyshire, and T. A. Steitz, Science 260, 352 (1993).

<sup>&</sup>lt;sup>5</sup> P. S. Freemont, J. M. Friedman, L. S. Beese, M. R. Sanderson, and T. A. Steitz, *Proc. Natl.* Acad. Sci. USA 85, 8924 (1988).

<sup>&</sup>lt;sup>6</sup> L. Beese and T. A. Steitz, EMBO J. 10, 25 (1991).

<sup>&</sup>lt;sup>7</sup> V. Derbyshire, P. S. Freemont, M. R. Sanderson, L. Beese, J. M. Friedman, C. M. Joyce, and T. A. Steitz, Science 240, 199 (1988).

<sup>&</sup>lt;sup>8</sup> V. Derbyshire, N. D. F. Grindley, and C. M. Joyce, EMBO J. 10, 17 (1991).

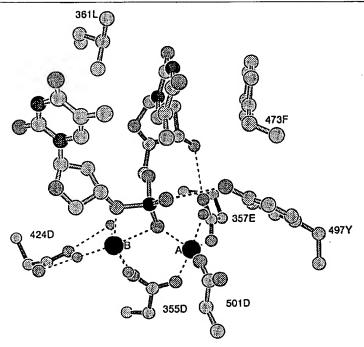


Fig. 1. Structure of the  $3' \rightarrow 5'$ -exonuclease active site containing a bound dinucleotide. The catalytically essential metal ions, A and B, are shown as large black balls. Other atoms are represented as smaller balls, with phosphorus black, and carbon, oxygen, and nitrogen represented by increasingly darker shades of gray. Water molecules are shown as smaller gray spheres. Reproduced, with permission from Oxford University Press, from L. Beese and T. A. Steitz, *EMBO J.* 10, 25 (1991).

of two divalent metal ion sites at the  $3' \rightarrow 5'$ -exonuclease site and showed the importance of the metal ions in the reaction.<sup>7,8</sup> Based on the structural data, a reaction mechanism was proposed involving catalysis by the two metal ions.<sup>5,6</sup>

It has become clear from protein sequence alignments that all polymerases with an editing function possess the same group of crucial active site residues identified in Klenow fragment, although the surrounding protein sequence may be very dissimilar. 9-12 Moreover, preliminary crystallographic

data for the N-terminal 45-kDa dome the prediction of a similar active site g provided the rationale for mutagenes variety of DNA polymerases, which se of a conserved  $3' \rightarrow 5'$ -exonuclease act deficient enzymes for a variety of app

In this chapter, we describe first th ducted on the Klenow fragment  $3' \rightarrow 3'$  similar studies on other DNA polymeries, and the resulting mechanistic december. <sup>14</sup>

# Mutagenesis of $3' \rightarrow 5'$ -Exonuclease

#### Choice of Mutations

[28]

Residues were chosen for mutagene lographic data for the complexes of I product at the exonuclease active site3. be divided into three fairly distinct g anchoring the two divalent ions, those the terminal phosphodiester bond tha contact the upstream portion of the D site of catalysis (Table I). In every cas to alanine was studied; this is likely t simple removal of the side chain, since al disruptive than the alternative, glycine. I tive changes were made; most of these w of a similar size to the wild-type residue properties. The rationale for such change the experimental results below. In additi made to test the tolerance for altered g and the L361M mutation was made to inc of the  $\varepsilon$  subunit of DNA polymerase II

## Construction of Mutations

Site-directed mutagenesis was carriedures. 15 Synthetic oligonucleotides encused as primers on uracil-containing M13

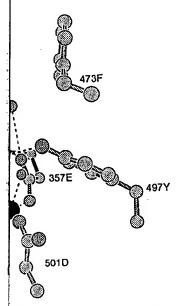
<sup>&</sup>lt;sup>9</sup> A. Bernad, L. Blanco, J. M. Lázaro, G. Martin, and M. Salas, Cell 59, 219 (1989).

<sup>&</sup>lt;sup>10</sup> D. K. Braithwaite and J. Ito, Nucleic Acids Res. 21, 787 (1993).

<sup>&</sup>lt;sup>11</sup> A. Morrison, J. B. Bell, T. A. Kunkel, and A. Sugino, *Proc. Natl. Acad. Sci. USA* 88, 947 (1991).

<sup>&</sup>lt;sup>12</sup> L. Blanco, A. Bernad, and M. Salas, Gene 112, 139 (1992).

J. Wang, P. Yu, W. H. Konigsberg, and T. A. S
 C. M. Joyce and T. A. Steitz, Ann. Rev. Bioche.
 T. A. Kunkel, J. D. Roberts, and R. A. Zakour.



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 $B' \rightarrow 5'$ -exonuclease site and showed the reaction. Based on the structural bosed involving catalysis by the two

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d A. Sugino, Proc. Natl. Acad. Sci. USA 88,

**112,** 139 (1992).

data for the N-terminal 45-kDa domain of T4 DNA polymerase confirm the prediction of a similar active site geometry. The sequence alignments provided the rationale for mutagenesis of the  $3' \rightarrow 5'$ -exonuclease in a variety of DNA polymerases, which served both to confirm the hypothesis of a conserved  $3' \rightarrow 5'$ -exonuclease active site and to provide exonuclease-deficient enzymes for a variety of applications.

In this chapter, we describe first the mutational studies that were conducted on the Klenow fragment  $3' \rightarrow 5'$ -exonuclease, and then summarize similar studies on other DNA polymerases. These structure-function studies, and the resulting mechanistic deductions, have been reviewed elsewhere.<sup>14</sup>

Mutagenesis of 3' → 5'-Exonuclease of Klenow Fragment

#### Choice of Mutations

[28]

Residues were chosen for mutagenesis based on high-resolution crystallographic data for the complexes of Klenow fragment with substrate or product at the exonuclease active site<sup>3,5,6</sup> (Fig. 1). Active-site residues can be divided into three fairly distinct groups: those that serve as ligands anchoring the two divalent ions, those that contact the substrate around the terminal phosphodiester bond that is to be cleaved, and those that contact the upstream portion of the DNA chain, more remote from the site of catalysis (Table I). In every case a mutation of the target residue to alanine was studied; this is likely to be the best approximation to a simple removal of the side chain, since alanine is less likely to be structurally disruptive than the alternative, glycine. In many cases, other more conservative changes were made; most of these were substitutions giving side chains of a similar size to the wild-type residue but with altered hydrogen-bonding properties. The rationale for such changes should be apparent as we describe the experimental results below. In addition, changes from Asp to Glu were made to test the tolerance for altered geometry at positions 424 and 501, and the L361M mutation was made to increase the similarity to the sequence of the  $\varepsilon$  subunit of DNA polymerase III.

#### Construction of Mutations

Site-directed mutagenesis was carried out following established procedures. Synthetic oligonucleotides encoding the desired mutations were used as primers on uracil-containing M13 templates containing appropriate

<sup>&</sup>lt;sup>13</sup> J. Wang, P. Yu, W. H. Konigsberg, and T. A. Steitz, unpublished observations (1993). <sup>14</sup> C. M. Joyce and T. A. Steitz, *Ann. Rev. Biochem.* **63**, 777 (1994).

<sup>&</sup>lt;sup>15</sup> T. A. Kunkel, J. D. Roberts, and R. A. Zakour, Methods Enzymol. 154, 367 (1987).

 $TABLE\ I$  Amino Acid Residues at 3'  $\rightarrow$  5'-Exonuclease Active Site of Klenow Fragment

Residue Observed contact <sup>a</sup>		Mutations*
Ligands to the metal ic	ons	
Asp-355	Shared ligand to metal A and metal B	D355A, D355N
Glu-357	Ligand to metal A (also see below)	E357A, E357Q
Asp-424	Ligand to metal B (via bridging H₂O molecules)	D424A, D424E, D424N
Asp-501	Ligand to metal A	D501A, D501E, D501N
Substrate contacts at 3	terminus	
Glu-357	Hydrogen-bonded to 3'-OH and may also orient attacking H <sub>2</sub> O	E357A, E357Q
Leu-361	Inserted between terminal two bases	L361A, L361M
Phe-473	Stacks with 3'-terminal base	F473A
Tyr-497	Hydrogen-bonded to terminal phosphodiester bond and may also orient attacking H <sub>2</sub> O	Y497A, Y497F
Upstream contacts with	the substrate sugar-phosphate backbone	
Gln-419	Interacts with penultimate phosphodiester bond	Q419A, Q419E
Arg-455	Ion-pair interaction with third phosphodiester bond from terminus	R455A

<sup>&</sup>lt;sup>a</sup> From L. Beese and T. A. Steitz, EMBO J. 10, 25 (1991).

regions of the *polA* gene, chosen so as to facilitate subsequent cloning of the mutations into a Klenow fragment expression plasmid.<sup>8</sup> M13 isolates carrying the desired mutation were obtained typically at frequencies of 10 to 50% and were identified by direct sequencing of randomly chosen clones. Before subcloning into the expression plasmid, the region to be subcloned (300 to 500 bp, see below) was sequenced in its entirety to check that no additional mutations were present.

In a large-scale mutagenesis study such as the present one, it is extremely helpful to have a series of unique restriction sites that divides the target gene into modules or cassettes of a convenient size for cloning and sequencing. The  $3' \rightarrow 5'$ -exonuclease region of Klenow fragment can be divided into two modules, defined by three unique restriction sites: the *BstXI* site

in λ control sequences 70 bp upstream ( start (codon 324 of the polA structura 402-403, and the SacI site at codons 55 due 402 were therefore retrieved from XhoI fragment, and those beyond refragment. The relevant fragment was i plasmid, in which Klenow fragment i leftward promoter ( $P_L$ ) of phage  $\lambda$ . 17,18 tated by the use of deletion derivatives pair of unique sites (BstXI and XhoI, short ( $\sim$ 10 bp) adaptor containing a B of this cloning strategy was that there type information instead of the desired ing region was absent from the recipie were that the cloning efficiency could ligation mixture with BamHI to reduce t and that plasmids containing the desired tiated from the starting plasmid by the restriction fragment. The overproducer were obtained and characterized in stra the recA host DH1.19 We chose a recA genetic exchange between the mutant and the wild-type chromosomal polA many of the commonly used cloning ve study.

Because this study required the cloni ment of time in constructing appropriate tated fragments was clearly worthwhile; is were to be studied, the benefit of such an In the Klenow fragment system, the prese site within the region under study was all easy construction of some double mutatio likewise found the "cassette" approach to polymerase region of Klenow fragment, also necessary to create, by mutation, t modules within the coding sequence. 17

<sup>&</sup>lt;sup>b</sup> Mutations are abbreviated using the following convention: The residue number from the DNA polymerase I sequence [C. M. Joyce, W. S. Kelley, and N. D. F. Grindley, J. Biol. Chem. 257, 1958 (1982)] is preceded by the symbol (in the one-letter code) for the wild-type amino acid and followed by the symbol for the mutant amino acid. Thus D355A denotes a mutation from Asp to Ala at position 355.

<sup>&</sup>lt;sup>c</sup> Additional contacts with the nucleotide bases and deoxyribose positions are described by Beese and Steitz (see footnote a).

C. M. Joyce, W. S. Kelley, and N. D. F. Grindley
 A. H. Polesky, T. A. Steitz, N. D. F. Grindley
 14,579 (1990).

<sup>&</sup>lt;sup>18</sup> C. M. Joyce and V. Derbyshire, this volume, [1]. <sup>19</sup> D. Hanahan, *J. Mol. Biol.* **166**, 557 (1983).

E I EASE ACTIVE SITE OF KLENOW FRAGMENT

contact"	Mutations*
A and metal B	D355A, D355N
o see below)	E357A, E357Q
bridging H <sub>2</sub> O	D424A, D424E, D424N
	D501A, D501E, D501N
'-OH and may also	E357A, E357Q
)	
inal two bases	L361A, L361M
l base	F473A
erminal	Y497A, Y497F
and may also orient	
ate backbone <sup>c</sup>	
nate phosphodiester	Q419A, Q419E
th third If from terminus	R455A

25 (1991).

convention: The residue number from the DNA ey, and N. D. F. Grindley, J. Biol. Chem. 257, 1958 ter code) for the wild-type amino acid and followed is D355A denotes a mutation from Asp to Ala at

and deoxyribose positions are described by Beese

as to facilitate subsequent cloning of nt expression plasmid.<sup>8</sup> M13 isolates btained typically at frequencies of 10 equencing of randomly chosen clones. In plasmid, the region to be subcloned enced in its entirety to check that no

such as the present one, it is extremely striction sites that divides the target nvenient size for cloning and sequenc-of Klenow fragment can be divided nique restriction sites: the BstXI site

in λ control sequences 70 bp upstream of the Klenow fragment translational start (codon 324 of the polA structural gene 16), the XhoI site at codons 402-403, and the SacI site at codons 558-559. Mutations upstream of residue 402 were therefore retrieved from the M13 clone on a 302 bp BstXI-XhoI fragment, and those beyond residue 403 on a 470 bp XhoI-SacI fragment. The relevant fragment was inserted into the pCJ122 expression plasmid, in which Klenow fragment is under the control of the strong leftward promoter ( $P_L$ ) of phage  $\lambda$ . <sup>17,18</sup> The cloning procedure was facilitated by the use of deletion derivatives of pCJ122 in which the appropriate pair of unique sites (BstXI and XhoI, or XhoI and SacI) was joined by a short (~10 bp) adaptor containing a BamHI site. The primary advantage of this cloning strategy was that there was no danger of recovering wildtype information instead of the desired mutation because the corresponding region was absent from the recipient plasmid. Additional advantages were that the cloning efficiency could be increased by digestion of the ligation mixture with BamHI to reduce the background of starting plasmid, and that plasmids containing the desired mutations could easily be differentiated from the starting plasmid by the increase in size of an appropriate restriction fragment. The overproducer plasmids for the mutant proteins were obtained and characterized in strain CJ388, a wild-type λ lysogen of the recA host DH1.19 We chose a recA host to minimize the chances for genetic exchange between the mutant polA information on the plasmid and the wild-type chromosomal polA copy, needed for maintenance of many of the commonly used cloning vectors, including those used in this study.

Because this study required the cloning of many mutations, the investment of time in constructing appropriate "recipient plasmids" for the mutated fragments was clearly worthwhile; if only a small number of mutations were to be studied, the benefit of such an approach would be questionable. In the Klenow fragment system, the presence of the unique *XhoI* restriction site within the region under study was also beneficial in that it allowed the easy construction of some double mutations (e.g., E357A,D501N). We have likewise found the "cassette" approach to be valuable in our studies of the polymerase region of Klenow fragment, although in this instance it was also necessary to create, by mutation, the unique sites that defined the modules within the coding sequence.<sup>17</sup>

<sup>&</sup>lt;sup>16</sup> C. M. Joyce, W. S. Kelley, and N. D. F. Grindley, J. Biol. Chem. 257, 1958 (1982).

<sup>&</sup>lt;sup>17</sup> A. H. Polesky, T. A. Steitz, N. D. F. Grindley, and C. M. Joyce, J. Biol. Chem. 265, 14,579 (1990).

<sup>&</sup>lt;sup>18</sup> C. M. Joyce and V. Derbyshire, this volume, [1].

<sup>19</sup> D. Hanahan, J. Mol. Biol. 166, 557 (1983).

## Overproduction and Purification of Mutant Proteins

Detailed procedures are given elsewhere in this volume. 18 Klenow fragment derivatives with mutations at the exonuclease site were overproduced by heat induction of strains carrying a temperature-sensitive  $\lambda$  repressor. Because the overproduction vector, carrying the mutated copy of the gene, requires host DNA polymerase I functions for its replication, two potential problems exist: (1) Contamination of a mutant Klenow fragment with wild type (derived by endogenous proteolysis of the host polA gene product) could give the appearance of exonuclease activity in a mutant protein that was, in reality, exonuclease deficient. (2) Recombination between mutant polA information on the expression plasmid and the wild-type chromosomal copy could convert the overproducer plasmid to wild type in a subpopulation of the culture. We circumvented both problems completely in the overproduction of the D355A,E357A double mutant protein by constructing an overproduction strain, CJ375, in which all the polA information is derived from the same exonuclease-deficient allele (this was possible because the D355A,E357A mutation does not affect plasmid replication).<sup>20</sup> Because it was impractical to construct a host strain of this type for every mutant protein being studied, other mutant proteins were expressed in a recA host strain, CJ376,18 to reduce the likelihood of genetic exchange between mutant and wild-type information. As a further precaution against genetic exchange, overproducer strains were not stored as such; instead, cells for induction were grown from a fresh transformant in every case. The use of the CJ376 host strain addresses the problem of recombination, but does not deal with the possibility of low-level contamination with wildtype Klenow fragment.<sup>21</sup> Since the D355A,E357A overproducer system provides a more satisfactory solution to both problems, it is the D355A,E357A mutant protein that is available commercially as an exonuclease-deficient Klenow fragment, even though there are several other mutant proteins having similarly low levels of exonuclease activity (see Table II).

The mutant proteins were purified using the Pharmacia FPLC system.<sup>18</sup> We have found the superior fractionation obtained by FPLC to be particularly important for removing trace contaminants of cellular nucleases that might otherwise confuse the analysis of the mutant proteins. We avoid

Protein	Polymerase b activity
Wild type	1
Mutations affecting met	al ligands
D355A	1.4
D355N	0.7
E357A	1.3
E357Q	1.2
D424A	1.0
D424E	1.2
D424N	1.3
D501A	1.3
D501E	1.1
D501N	1.1
D355A, E357A	1.0
E357A, D501N	0.8
Mutations affecting conta	icts to terminal nucleon
E35/A8	1.3
E357Q*	1.2
L361A	1.0
L361M	1.2
F473A	1.1
Y497A	1.0
Y497F	1.2
Q419A	1.1
Q419E	1.5
R455A	0.8

"All values are the average of several determ the original reports. 7.8

Assayed on poly[d(AT)] template. <sup>23</sup> Values at Relative to wild type (defined as 100 in both rates for wild-type Klenow fragment in the ty and assay methods were those described in lower limit of the assay using double-strande of the single-stranded DNA assay so that it having very low activity.

<sup>d</sup> These assays were not necessarily under  $V_{mi}$  rates at different substrate concentrations.

This value was calculated from the rate of delindicated in the text, this assay is less sensiting for comparison, the D355A protein, assaye exonuclease rate, implying that the lower limwild type as 100).

No reaction detectable after a 300-min incuba general Glu-357 is placed in both groups since the ca as a metal ligand and as a substrate-binding r

<sup>&</sup>lt;sup>20</sup> C. M. Joyce, unpublished observations (1987).

<sup>&</sup>lt;sup>21</sup> The quantitative effect of both problems seems likely to be small, as shown by comparing the exonuclease activities of D355A,E357A (prepared from a homogenotized background), D424A (prepared from a polA(D355A,E357A) recA<sup>+</sup> background), and D424N (prepared from a polA<sup>+</sup> recA<sup>-</sup> background). The values (from Table II) are, respectively, 1.4 × 10<sup>-5</sup>, 1.3 × 10<sup>-5</sup>, and 2.5 × 10<sup>-5</sup> of wild type.

[28]

utant Proteins

where in this volume. 18 Klenow fragexonuclease site were overproduced temperature-sensitive  $\lambda$  repressor. rrying the mutated copy of the gene, ions for its replication, two potential mutant Klenow fragment with wild vsis of the host polA gene product) ase activity in a mutant protein that (2) Recombination between mutant smid and the wild-type chromosomal plasmid to wild type in a subpopulad both problems completely in the A double mutant protein by con-B75, in which all the polA information se-deficient allele (this was possible does not affect plasmid replication).20 ct a host strain of this type for every mutant proteins were expressed in a the likelihood of genetic exchange ation. As a further precaution against ins were not stored as such; instead, a fresh transformant in every case. resses the problem of recombination, of low-level contamination with wild-D355A,E357A overproducer system ution to both problems, it is the is available commercially as an exo-, even though there are several other w levels of exonuclease activity (see

d using the Pharmacia FPLC system. 18 ation obtained by FPLC to be particucontaminants of cellular nucleases that sis of the mutant proteins. We avoid

Þ87).

seems likely to be small, as shown by comparing A (prepared from a homogenotized background), 57A) recA+ background),7 and D424N (prepared Hues (from Table II) are, respectively,  $1.4 \times 10^{-5}$ , TABLE II

ENZYMATIC ACTIVITY OF WILD-TYPE AND MUTANT DERIVATIVES OF KLENOW FRAGMENT

Ratio of exonuclease to polymerase activity<sup>c</sup> Polymerase b Double-stranded Single-stranded Protein activity DNA DNA Wild type 100 100 Mutations affecting metal ligands  $0.0083^{d}$ D355A D355N 0.7 ≤0.01° E357A 0.18 E357O  $0.03^{d}$ 1.2  $0.0013^{d}$ D424A 1.0 D424E 1.2 4.0 8.3 D424N 1.3  $0.0025^{d}$ D501A 1.3  $0.0075^{d}$ D501E 1.1 0.56 D501N 1.1 50  $0.0014^{d}$ D355A, E357A 1.0 E357A, D501N 0.8  $\leq 0.0027$ Mutations affecting contacts to terminal nucleotide E357A 0.18 1.3 E357Q\* 1.2 0.034 L361A 1.0 4.0 37 L361M 1.2 83 F473A 1.1  $0.03^{d}$ Y497A 1.0  $2.9^{d}$ 5.6 Y497F 1.2 4.3 1.6 O419A 1.1 23 20  $0.1^d$ O419E 1.5 R455A 84

" All values are the average of several determinations; standard deviations are given in the original reports.7.8

36

0.8

<sup>b</sup> Assayed on poly[d(AT)] template.<sup>23</sup> Values are given relative to wild type (defined as 1.0). Relative to wild type (defined as 100 in both assays); note, however, that the reaction rates for wild-type Klenow fragment in the two assays are not the same. The substrates and assay methods were those described in the text. A value of 0.001 represents the lower limit of the assay using double-stranded DNA. A value of 0.4 is the lower limit of the single-stranded DNA assay so that it was not possible to assay those proteins having very low activity.

These assays were not necessarily under  $V_{\text{max}}$  conditions, as judged by comparing the rates at different substrate concentrations.

This value was calculated from the rate of degradation of a 5'-labeled duplex DNA. As indicated in the text, this assay is less sensitive than our standard duplex DNA assay. For comparison, the D355A protein, assayed at the same time, gave a very similar exonuclease rate, implying that the lower limit of this assay is around 0.01 (relative to wild type as 100).

No reaction detectable after a 300-min incubation.

<sup>8</sup> Glu-357 is placed in both groups since the carboxylate side chain makes contacts both as a metal ligand and as a substrate-binding residue.

using phosphate buffers in the purification since we have found that some component used in our earlier procedure<sup>22</sup> (presumably the phosphate buffer) serves as source of pyrophosphate, resulting in a low level of Klenow fragment-catalyzed pyrophosphorysis of duplex DNA assay substrates, which can interfere with the assay of mutant proteins having very low  $3' \rightarrow 5'$ -exonuclease activity.<sup>7</sup>

STRUCTURE-FUNCTION STUDIES OF DNA POLYMERASES

# Characterization of Mutant Derivatives of Klenow Fragment

#### Measurement of Specific Activity of Polymerase

Polymerase activity was measured by the standard poly[d(A-T)] assay.<sup>23</sup> Protein concentrations were determined by the Bradford colorimetric assay,<sup>24</sup> using the reagent supplied by Bio-Rad. Either homogeneous Klenow fragment or bovine serum albumin (BSA) (of accurately determined concentration) has been used as the standard, with identical results. A polymerase-specific activity close to that of wild-type Klenow fragment (typically ~10<sup>4</sup> units/mg in this assay) was taken as evidence that the mutant proteins were not grossly misfolded. Because of the variability of the assay, it is less important that the polymerase-specific activity of the mutant protein have a particular numerical value than that it be similar to that of a wild-type standard assayed at the same time with the same reagents.

# Exonuclease Assay on Double-Stranded DNA

1. Preparation of Assay Substrate.<sup>25</sup> The assay substrate was a heterogeneous mixture of restriction fragments carrying a single <sup>32</sup>P label at the 3'-terminal phosphodiester bond. The labeled substrate was prepared from E. coli chromosomal DNA digested to completion with Sau3AI. Digested DNA (24  $\mu$ g, approximately 290 pmol of ends) was 3'-end-labeled using 1 unit of Klenow fragment in a 50- $\mu$ l reaction containing 10 nmol unlabeled dGTP and 30 pmol [ $\alpha$ -<sup>32</sup>P]dATP (3000 Ci/mmol) for 10 min at room temperature. Excess unlabeled dATP (1 nmol) was added and incubation was continued for a further 1 min to ensure that all the 3' ends were extended to the same extent (leaving a 2-nucleotide 5' extension). The reaction was terminated by addition of EDTA to 20 mM, and the Klenow fragment was inactivated by heating at 70° for 15 min. The labeled DNA was phenol

2. Assay Method. 8.25 The standard M DNA 3' termini in 6 mM Tris-2-mercaptoethanol, and 50 mM NaCl was incubated at 37°. Samples were 1 solution containing 1 mg/ml BSA (at EDTA. The DNA was precipitated by (w/v) trichloroacetic acid (TCA). Aft pelleted by spinning for 2 min in a transferred to a fresh tube. The radioa was determined by Cerenkov count quenching in the supernatant fractior was added to both supernatant and (prepared by mixing 0.5 ml each of the of the counts observed in the two tul fraction of the substrate <sup>32</sup>P that was

giving the rate of the exonuclease rea

number of polymerase units in the as

polymerase unit) of each mutant prot

that of wild type, which was arbitrarily

of the proteins in this study we were al

 $V_{\text{max}}$  because the same exonuclease r higher substrate concentration.

extracted, passed through a 1-ml ca

unincorporated nucleotides, and reco

Exonuclease Assay on Single-Stranded

1. Preparation of Assay Substrate. TI homopolymer, which was synthesized e nucleotidyltransferase. An octanucleon nmol) was incubated with 4  $\mu$ mol [ $\alpha$ - $^3$ 100- $\mu$ I reaction containing 100 mM Tr 1 mM dithiothreitol (DTT), 10 mM MgG nucleotidyltransferase for 16 hr at 37°. U by gel filtration on a 1-ml Biogel P4 columix was taken before and after the P4 c filter and washed as described below. Co filters gave the yield of labeled DNA 1 could be calculated the molarity of the as per mole. The average chain length of 30 nucleotides) was determined by frac polyacrylamide-urea sequencing gel foll

<sup>&</sup>lt;sup>22</sup> C. M. Joyce and N. D. F. Grindley, Proc. Natl. Acad. Sci. USA 80, 1830 (1983).

<sup>&</sup>lt;sup>23</sup> P. Setlow, Methods. Enzymol. 29, 3 (1974).

<sup>&</sup>lt;sup>24</sup> M. M. Bradford, Anal. Biochem. 72, 248 (1976).

<sup>&</sup>lt;sup>25</sup> P. S. Freemont, D. L. Ollis, T. A. Steitz, and C. M. Joyce, Proteins 1, 66 (1986).

ation since we have found that some redure<sup>22</sup> (presumably the phosphate hate, resulting in a low level of Klenow s of duplex DNA assay substrates, of mutant proteins having very low

#### tives of Klenow Fragment

#### Polymerase

by the standard poly[d(A-T)] assay.<sup>23</sup> nined by the Bradford colorimetric by Bio-Rad. Either homogeneous albumin (BSA) (of accurately deteras the standard, with identical results. to that of wild-type Klenow fragment was taken as evidence that the mutant Because of the variability of the assay, e-specific activity of the mutant protein an that it be similar to that of a wild-ime with the same reagents.

# ded DNA

.25 The assay substrate was a heterogents carrying a single <sup>32</sup>P label at the 3'-labeled substrate was prepared from to completion with Sau3AI. Digested nol of ends) was 3'-end-labeled using reaction containing 10 nmol unlabeled 0 Ci/mmol) for 10 min at room tempernmol) was added and incubation was ure that all the 3' ends were extended eotide 5' extension). The reaction was 20 mM, and the Klenow fragment was 5 min. The labeled DNA was phenol

Natl. Acad. Sci. USA 80, 1830 (1983).

1976).

and C. M. Joyce, Proteins 1, 66 (1986).

extracted, passed through a 1-ml column of Sephadex G-50 to remove unincorporated nucleotides, and recovered by ethanol precipitation.

2. Assay Method. 8.25 The standard reaction (20  $\mu$ l) contained  $\sim 3 \times 10^{-7}$ M DNA 3' termini in 6 mM Tris-HCl, pH 7.5, 6 mM MgCl<sub>2</sub>, 6 mM 2-mercaptoethanol, and 50 mM NaCl. Enzyme was added and the mixture was incubated at 37°. Samples were removed at intervals into 0.5 ml of a solution containing 1 mg/ml BSA (as a precipitation carrier) and 10 mM EDTA. The DNA was precipitated by addition of an equal volume of 10% (w/v) trichloroacetic acid (TCA). After 5 to 10 min on ice, the DNA was pelleted by spinning for 2 min in a microfuge and the supernatant was transferred to a fresh tube. The radioactivity in both supernatant and pellet was determined by Cerenkov counting. To correct for the additional quenching in the supernatant fraction, 10  $\mu$ l of a <sup>32</sup>P-containing solution was added to both supernatant and pellet from a "blank" precipitation (prepared by mixing 0.5 ml each of the BSA and TCA solutions). The ratio of the counts observed in the two tubes gave the correction factor. The fraction of the substrate 32P that was solubilized was plotted versus time, giving the rate of the exonuclease reaction, which was normalized to the number of polymerase units in the assay. The exonuclease activity (per polymerase unit) of each mutant protein was then expressed relative to that of wild type, which was arbitrarily set at 100 (see Table II). For most of the proteins in this study we were able to show that this value reflected  $V_{\rm max}$  because the same exonuclease rate was observed with a threefold higher substrate concentration.

# Exonuclease Assay on Single-Stranded DNA<sup>8</sup>

1. Preparation of Assay Substrate. The substrate was a  $^{32}$ P-labeled DNA homopolymer, which was synthesized enzymatically using terminal deoxynucleotidyltransferase. An octanucleotide primer,  $p(dA)_8$  (typically 80 nmol) was incubated with 4  $\mu$ mol [ $\alpha$ - $^{32}$ P]dATP (5 to 10  $\mu$ Ci/ $\mu$ mol) in a 100- $\mu$ l reaction containing 100 mM Tris-HCl, pH 7.5, 100  $\mu$ g/ml BSA, 1 mM dithiothreitol (DTT), 10 mM MgCl<sub>2</sub> and 80 units of terminal deoxynucleotidyltransferase for 16 hr at 37°. Unincorporated dATP was removed by gel filtration on a 1-ml Biogel P4 column. A 2- $\mu$ l sample of the reaction mix was taken before and after the P4 column and was applied to a DE81 filter and washed as described below. Comparison of the counts on the two filters gave the yield of labeled DNA from the P4 column, from which could be calculated the molarity of the assay substrate and the radioactivity per mole. The average chain length of the substrate (typically around 30 nucleotides) was determined by fractionation of a sample on a 10% polyacrylamide-urea sequencing gel followed by densitometric scanning

and integration of all peaks visible on the resulting autoradiograph. A similar substrate was made by extension of p(dT)<sub>8</sub> with dTTP.

2. Assay Method. The standard reaction (20  $\mu$ l) contained ~1 × 10<sup>-4</sup> M DNA 3' termini in 50 mM Tris-HCl, pH 7.5, 8 mM MgCl<sub>2</sub>. Reactions were initiated by addition of enzyme and were incubated at 37°. At intervals,  $2-\mu l$  samples were removed and quenched in 53  $\mu l$  of 30 mM EDTA. The radioactivity remaining in single-stranded DNA was determined by applying 50  $\mu$ l of each quenched solution to a 2.5-cm-diameter DE81 filter (Whatman). The released [32P]dAMP was removed by washing the filters three times by gentle agitation for 5 min in 0.3 M ammonium formate, pH 8.0, followed by two washes in 95% (v/v) ethanol and one wash in ether.<sup>26</sup> After air-drying, the radioactivity present on each filter was determined by scintillation counting in 5 ml of Optifluor (Packard), and was plotted as a function of time to give the exonuclease rate for each protein. As in the previous method, this was normalized to the number of polymerase units in the assay and expressed relative to wild type, which was arbitrarily set at 100. For most of the proteins assayed, we could show that the exonuclease rate corresponded to  $V_{\text{max}}$  since the same rate was observed with a threefold higher substrate concentration. This assay method has also been used in our investigation of the pH dependence of the  $3' \rightarrow 5'$ -exonuclease reaction.8

3. Steady-State Kinetics. Measurement of  $k_{\rm cat}$  and  $K_{\rm m}$  for wild-type Klenow fragment was carried out using poly(dT), made as described above for poly(dA), except that the specific activity of the labeled nucleotide was approximately 100-fold higher because lower concentrations of the poly(dT) substrate were to be used. The reaction mix (100  $\mu$ l) contained 7.4  $\times$  10<sup>-9</sup> M Klenow fragment and poly(dT) (1  $\times$  10<sup>-7</sup> to 2  $\times$  10<sup>-6</sup> M of 3' ends) in 6 mM Tris-HCl, pH 7.5, 6 mM MgCl<sub>2</sub>, 6 mM 2-mercaptoethanol, 50 mM NaCl, and 100  $\mu$ g/ml BSA. Samples (5  $\mu$ l) were removed at intervals during incubation at 37° and processed as described above. Initial rates, determined by a least-squares analysis, were used to generate a Lineweaver-Burk double-reciprocal plot, from which  $k_{\rm cat}$  and  $K_{\rm m}$  were calculated.

#### Assessment of Exonuclease Assay Methods

Of the two exonuclease assays described above, the method using the duplex substrate is the more sensitive for assaying mutant proteins having very low exonuclease activity (see footnotes to Table II). This greater sensitivity results from two features of the duplex DNA assay. One is the

<sup>26</sup> F. R. Bryant, K. A. Johnson, and S. J. Benkovic, *Biochemistry* 22, 3537 (1983).

quantitation of both the dNMP prothe single-stranded DNA assay, only fore, at low extents of conversion, t sponds to the difference between to important difference is that only th substrate is labeled, whereas the single tract of labeled residues. As a resulwill give a greater proportionate rele substrate (though this greater sensit linear time course; see below). Althou modifications, the sensitivity of the s improved by having a shorter tract separation method (such as thin-layer tation of the released dNMP as wel methods used by other workers follo assays we have described, but may substrates used or the methods for sep and product. Examples can be found

The exonuclease assay methods that the most appropriate, given the tech this work was carried out. With subse however, some methods that we had fo more feasible. In particular, we had separation and quantitation of produ quantitation of the DNA bands by deinsufficiently accurate for a detailed kin to quantitate gels of this type accurate technology has meant that measureme following the degradation of a 5'-labe attractive alternative, and we are startin our studies of the exonuclease react

Although we have not used the gel attion of mutant proteins, our experience strengths and weaknesses compared wanalysis of the degradation of a 5'-lat measuring low levels of 3' 

5'-exonucl II) since it is difficult to quantitate a sma of a large excess of starting material. consuming and therefore less well suite numbers of mutant proteins. Advantage well-defined substrates, the potential festrates (single- or double-stranded DI

on the resulting autoradiograph. A on of p(dT)<sub>8</sub> with dTTP.

IES OF DNA POLYMERASES

eaction (20  $\mu$ l) contained  $\sim 1 \times 10^{-4}$ Cl, pH 7.5, 8 mM MgCl<sub>2</sub>. Reactions nd were incubated at 37°. At intervals, enched in 53  $\mu$ l of 30 mM EDTA. -stranded DNA was determined by ion to a 2.5-cm-diameter DE81 filter was removed by washing the filters nin in 0.3 M ammonium formate, pH v/v) ethanol and one wash in ether.26 esent on each filter was determined Optifluor (Packard), and was plotted nuclease rate for each protein. As in alized to the number of polymerase we to wild type, which was arbitrarily issayed, we could show that the exoince the same rate was observed with tion. This assay method has also been bH dependence of the  $3' \rightarrow 5'$ -exo-

ement of  $k_{\rm cat}$  and  $K_{\rm m}$  for wild-type ng poly(dT), made as described above ic activity of the labeled nucleotide because lower concentrations of the The reaction mix  $(100 \ \mu l)$  contained poly(dT)  $(1 \times 10^{-7} \text{ to } 2 \times 10^{-6} \ M$  of mM MgCl<sub>2</sub>, 6 mM 2-mercaptoethanol, nples  $(5 \ \mu l)$  were removed at intervals sed as described above. Initial rates, ysis, were used to generate a Line, from which  $k_{\rm cat}$  and  $K_{\rm m}$  were calcu-

#### ethods

escribed above, the method using the e for assaying mutant proteins having footnotes to Table II). This greater of the duplex DNA assay. One is the

kovic, Biochemistry 22, 3537 (1983).

quantitation of both the dNMP product and the remaining substrate. (In the single-stranded DNA assay, only the substrate is quantitated and, therefore, at low extents of conversion, the amount of product formed corresponds to the difference between two very large numbers.) The second important difference is that only the 3'-terminal residue of the duplex substrate is labeled, whereas the single-stranded substrate contains a sizable tract of labeled residues. As a result, a low level of exonuclease activity will give a greater proportionate release of radioactivity from the duplex substrate (though this greater sensitivity comes at the expense of a less linear time course; see below). Although we ourselves have not made these modifications, the sensitivity of the single-stranded DNA assay could be improved by having a shorter tract of labeled residues and by using a separation method (such as thin-layer chromatography) that allows quantitation of the released dNMP as well as the remaining substrate. Assay methods used by other workers follow the same general principles as the assays we have described, but may differ in the precise details of the substrates used or the methods for separation and quantitation of substrate and product. Examples can be found in the references cited in Table III.

The exonuclease assay methods that we have used were chosen as being the most appropriate, given the technology available to us at the time this work was carried out. With subsequent improvements in technology, however, some methods that we had found unsatisfactory have now become more feasible. In particular, we had rejected methods that required the separation and quantitation of products on sequencing gels because the quantitation of the DNA bands by densitometry of autoradiographs was insufficiently accurate for a detailed kinetic study. More recently, the ability to quantitate gels of this type accurately and easily using phosphorimage technology has meant that measurement of a  $3' \rightarrow 5'$ -exonuclease rate by following the degradation of a 5'-labeled oligonucleotide has become an attractive alternative, and we are starting to use this approach increasingly in our studies of the exonuclease reaction.

Although we have not used the gel assay extensively for the characterization of mutant proteins, our experience to date has allowed us to assess its strengths and weaknesses compared with the assays described above. Gel analysis of the degradation of a 5'-labeled substrate is less sensitive for measuring low levels of  $3' \rightarrow 5'$ -exonuclease activity (see footnotes to Table II) since it is difficult to quantitate a small amount of product in the presence of a large excess of starting material. The gel assay is also more time consuming and therefore less well suited for the routine screening of large numbers of mutant proteins. Advantages of the gel assay are the use of well-defined substrates, the potential for using a variety of different substrates (single- or double-stranded DNAs, oligonucleotides of different

TABLE III
MUTATIONS THAT HAVE BEEN STUDIED IN THE CONSERVED "EXO" SEQUENCE MOTIFS OF DNA POLYMERASES

			Effect of mutation"
Enzyme	Mutation	Exonuclease activity	Other
Exo I (Asp-355) motif <sup>h</sup> 429 DNA polymerase	D17A5	~103-fold decrease	Defective in strand discularement
	E14A°	~300-fold decrease	Defective in strand displacement
T4 DNA polymerase	D112A, E114A	~104-fold decrease	Mutator in vivo
	D112N	Not measured	Mutator in vivo
T5 DNA polymerase	D164A, E166A	Not detectable	
T7 DNA polymerase	D5A, E7A <sup>#</sup>	~105-fold decrease	
E. coli DNA polymerase II	D155A"	104-fold decrease	
	E157A"	10 <sup>3</sup> -fold decrease	
	D155A, E157A"	$2 \times 10^3$ -fold decrease	
B. subtilis DNA polymerase III	E427A	Not detectable	Large decrease in polymerase activity
	E427Q'	~103-fold decrease	10-fold decrease in polymerase activity
	G430E	10-fold decrease	2-fold decrease in polymerase activity
T. litoralis ("Vent") DNA polymerase	D141A, E143A'	Not detectable	
Yeast DNA polymerase II	D290A, E292A*	≥100-fold decrease	Mutator, in vivo
Yeast DNA polymerase III	D321A'	Not measured	Mutator, in vivo
	D321V'	Not measured	Mutator, in vivo
	E323A'	Not measured	Mutator, in vivo
Yeast mitochondrial DNA	D171G"	≥100-fold decrease	Mutator, in vivo; polymerase less processive
polymerase			
Exo II (Asp-424) motif			
φ29 DNA polymerase	D66A°	~400-fold decrease	Defective in strand displacement
T4 DNA polymerase  E. coli DNA polymerase II	D219A" D228A"	>10'-fold decrease	Mutator in vivo
70			

Mutator <i>in vivo</i> Mutator <i>in vivo</i> ; polymerase loss processive	Defective in strand displacement	Defective in strand displacement  Defective in strand displacement  Mutator in minor	Mutator in vivo	Mutator in vivo; polymerasc less processive Weak mutator in vivo
Not detectable in crude extract Mutator <i>in vivo</i> ≥ 100-fold decrease Mutator <i>in vivo</i> ;	10³-fold decrease 24-fuld decrease	13-fold decrease ~10'-fold decrease	100-fold decrease' 60-fold decrease	50-fold dccrease ~500-fold dccrease ~3-fold dccrease
D405A' D230A"	D169A" Y165C"	Y165FP D324A	D324G 4 Y330F"	D334A" D347A" C344G"
Yeast DNA polymerase III Yeast mitochondrial DNA polymerase (MIP1)	Exo III (Asp-501) motif ¢29 DNA polymerase	T4 DNA polymerase	E. coli DNA polymerase II	Yeast mitochondrial DNA polymerase (MIP1)

<sup>a</sup> Relative to wild typc. Except where noted, the polymerase activity was essentially the same as wild type.
<sup>b</sup> To facilitate comparison with the Klenow fragment results, the important carboxylate metal ligand present in each motif of the Klanow fac

2 × 10-told decrease

DISSA, EISTA"

Large decrease in polymerasc activity 10-fold decrease in polymerase activity 2-fold decrease in polymerase activity	Mutator, in vivo Mutator, in vivo Mutator, in vivo Mutator, in vivo Mutator, in vivo; polymerasc less processive Defective in strand displacement Mutator in vivo	Mutator in vivo  Mutator in vivo; polymerase less processive  Defective in strand displacement  Defective in strand displacement  Mutator in vivo  Mutator in vivo  Mutator in vivo; polymerase less processive  Weak mutator in vivo
Not detectable ~10 <sup>3</sup> -fold decrease 10-fold decrease	Not detectable ≥100-fold decrease Not measured Not measured Not measured ≥100-fold decrease >107-fold decrease >107-fold decrease	Not detectable in crude extract ≥100-fold decreasc 10³-fold decrease 24-fold decrease 13-fold decrease 100-fold decrease 50-fold decrease ~500-fold decrease ~3-fold decrease
E427A' E427Q' G430E'	D141A, E143A' D290A, E292A* D321A' D321V' E323A' D171G" D66A* D219A"	D405A' . D230A" D230A" D169AP Y165CP Y165EP D324Ad D334AA D334AA C344G"
B. subtilis DNA polymcrase 111	T. Itioralis ("Vent") DNA polymerase Yeast DNA polymerase II Yeast DNA polymerase III  Yeast DNA polymerase III  Yeast mitochondrial DNA polymerase (MIP1)  Exo II (Asp-424) motif \$\phi29\$ DNA polymerase T4 DNA polymerase T6 coli DNA polymerase II	Yeast DNA polymerase III Yeast mitochondrial DNA polymerase (MIP1) Exo III (Asp-501) motif \$\phi_{29}\$ DNA polymerase  T4 DNA polymerase  E. coli DNA polymerase II Yeast mitochondrial DNA polymerase (MIP1)

<sup>&</sup>quot; Relative to wild type. Except where noted, the polymerase activity was essentially the same as wild type.

b To facilitate comparison with the Klenow fragment results, the important carboxylate metal ligand present in each motif of the Klenow fragment sequence is noted.

A. Bernad, L. Blanco, J. M. Lázaro, G. Martin, and M. Salas, Cell 59, 219 (1989). <sup>d</sup> L. J. Reha-Krantz and R. L. Nonay, J. Biol. Chem. 268, 27,100 (1993)

<sup>&</sup>lt;sup>e</sup>L. J. Rcha-Krantz, J. Mol. Biol. 202, 711 (1988).

<sup>&</sup>lt;sup>f</sup>D. K. Chatterjee and A. J. Hughes, unpublished work (1991).

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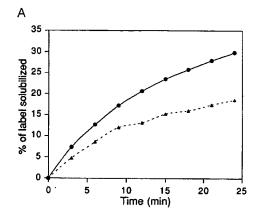
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<sup>&</sup>quot; M. W. Frey, N. G. Nossal, T. L. Capson, and S. J. Benkovic, Proc. Nutl. Acud. Sci. USA 90, 2579 (1993).

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Double mutation with E191A, which alone has little effect on exonuclease activity.



STRUCTURE-FUNCTION STUDIES OF DNA POLYMERASES

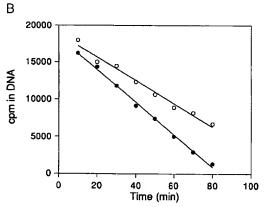
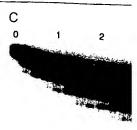


Fig. 2. Determination of 3' →5 '-exonuclease activity. (A) Degradation of a 3'-end-labeled duplex DNA by wild-type Klenow fragment ( ) assayed at 1.3 nM, and the R455A mutant protein (A) assayed at 3.6 nM. (B) Degradation of uniformly labeled single-stranded poly(dA), of average length 34, by wild-type Klenow fragment ( $\bullet$ ) assayed at 0.67  $\mu M$ , and the L361A mutant protein (O) assayed at 0.87  $\mu$ M. (C) Gel electrophoretic analysis of 3'  $\rightarrow$ 5'-exonuclease activity, exemplified by the degradation of 5'-end-labeled p(dT)14 by wild-type Klenow fragment. The reaction contained 6  $\mu M$  oligonucleotide and 1.5  $\mu M$  enzyme. Samples were removed at 15-sec intervals, as indicated. (D) Quantitation of the experiment shown in part (C). The extent of reaction (in  $\mu M$ ) is presented either as the amount of 14-mer hydrolyzed (O), or as the number of phosphodiester bonds hydrolyzed ( ) (calculated as described in the text). Early in the reaction, the two quantities are the same but, as the reaction proceeds, the second calculation method, which takes account of all species that can serve as substrates, is more satisfactory.



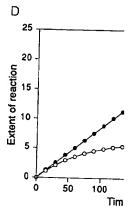
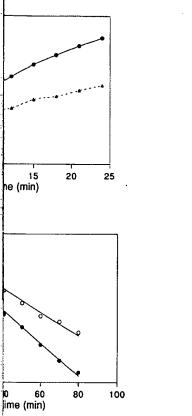


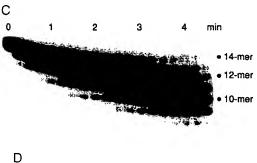
Fig. 2. (co

lengths, even extremely short oligonuc the spectrum of reaction products as the gel assay seems better suited for ac questions following the initial characte

When using a particular assay metl of how the reaction rate will change as tial portion of the substrate is conver which only the terminal residue is labe strate) a single exonuclease event will duce an unlabeled competing substra only linear below 10 to 20% conversion trast, the single-stranded substrate desc labeled residues so that the product fro can serve as a substrate in subsequer reaction rate remains constant for qui



e activity. (A) Degradation of a 3'-end-labeled  $\blacksquare$ ) assayed at 1.3 nM, and the R455A mutant of uniformly labeled single-stranded poly(dA), ment ( $\blacksquare$ ) assayed at 0.67  $\mu$ M, and the L361A electrophoretic analysis of 3'  $\rightarrow$ 5'-exonuclease nd-labeled p(dT)<sub>14</sub> by wild-type Klenow fragleotide and 1.5  $\mu$ M enzyme. Samples were Quantitation of the experiment shown in part ed either as the amount of 14-mer hydrolyzed ( $\blacksquare$ ) (calculated as described in less are the same but, as the reaction proceeds, punt of all species that can serve as substrates,



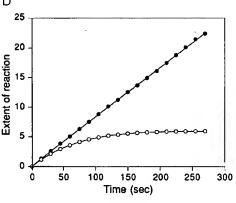


Fig. 2. (continued)

lengths, even extremely short oligonucleotides), and the ability to visualize the spectrum of reaction products as the reaction proceeds. As a result, the gel assay seems better suited for addressing more detailed mechanistic questions following the initial characterization of a mutant protein.

When using a particular assay method it is also important to be aware of how the reaction rate will change as the reaction proceeds and a substantial portion of the substrate is converted to product. With a substrate in which only the terminal residue is labeled (such as our duplex DNA substrate) a single exonuclease event will consume labeled substrate and produce an unlabeled competing substrate. Consequently, the reaction is only linear below 10 to 20% conversion of the substrate (Fig. 2A). By contrast, the single-stranded substrate described above contains more than 20 labeled residues so that the product from one round of exonuclease action can serve as a substrate in subsequent rounds, with the result that the reaction rate remains constant for quite a large extent of reaction (Fig.

[28]

2B). This same issue is nicely illustrated by the gel assay of the degradation of a 5'-labeled single-stranded DNA oligonucleotide (Fig. 2C). If one merely focuses on the rate of the first degradative event by measuring the rate of loss of the full-length substrate, then the time course will show substantial curvature as the reaction proceeds, due to the production of competing substrates. A more satisfactory approach is to consider the shorter species as potential substrates, as described by Cheng and Kuchta.<sup>27</sup> For this calculation, bands corresponding to substrate and all the reaction products are quantitated at each time point, and then the mole fraction of each species is multiplied by the number of exonuclease events required to generate that species, giving the amount of substrate degraded at each time point. Thus, for the degradation of 5'-32P-labeled (dT)<sub>14</sub>, the molar quantity of substrate degraded is given by {(fraction 14-mer)0 + (fraction 13-mer)1 + (fraction 12-mer)2 + (fraction 11-mer)3 + ...} × (moles of DNA in assay). Provided that the substrate is sufficiently long so that all the species under consideration are degraded at comparable rates, the reaction rate measured in this way remains linear for a substantial time (Fig. 2D).

# Results and Interpretation of Mutational Studies of $3' \rightarrow 5'$ -Exonuclease of Klenow Fragment

Table II summarizes the assay results previously reported for Klenow fragment derivatives having mutations at the exonuclease active site, 7,8 together with some previously unreported data for mutations not included in our earlier study. As in any structure-function study involving data from mutant proteins, any meaningful interpretation of the data relies on the assumption that changes in protein structure due to the mutations are confined to the position of the altered side chain. Crystallographic studies of the single mutants D424A7 and D355A4 and of the D355A,E357A double mutant<sup>7</sup> validated the assumption for these proteins, and moreover suggested that the more conservative amide substitutions at these carboxylate positions would also have the same structure. For the other proteins in the study, we were able to draw on circumstantial evidence (wild-type levels of polymerase activity, similar overproduction yields and chromatographic behavior) that argued against gross structural perturbations in any of the mutant proteins. However, in the absence of further crystallographic data, we cannot rule out the possibility that some of the mutations may cause subtle rearrangements within the acticaveat in a study of this type.

#### Reaction Mechanism

The structural and mutational demechanism for the chemical step of In this mechanism, the pair of divapart, plays a pivotal role in the bed Metal A coordinates and polarizes to nucleophilic displacement takes place oping negative charge on the pentago between the two metal sites. Metal

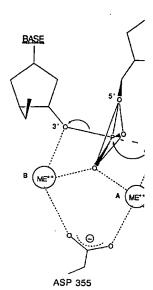


Fig. 3. The proposed transition state for the 3 is thought to involve catalysis mediated by the tw ion A facilitates the formation of the attacking be orientated toward the phosphorus by interaction ion B stabilizes the geometry and charge of the the departure of the 3' hydroxyl group. Reproduc Press, from L. Beese and T. A. Steitz, EMBO J.

<u>B</u>,

<sup>&</sup>lt;sup>27</sup> C.-H. Cheng and R. D. Kuchta, *Biochemistry* 32, 8568 (1993).

t by the gel assay of the degradation oligonucleotide (Fig. 2C). If one degradative event by measuring the te, then the time course will show proceeds, due to the production of ctory approach is to consider the as described by Cheng and Kuchta.27 ing to substrate and all the reaction point, and then the mole fraction of ber of exonuclease events required ount of substrate degraded at each of 5'-32P-labeled (dT)<sub>14</sub>, the molar h by {(fraction 14-mer)0 + (fraction ction 11-mer)3 + ...  $\times$  (moles of strate is sufficiently long so that all degraded at comparable rates, the mains linear for a substantial time

## ional Studies of agment

ults previously reported for Klenow ns at the exonuclease active site, 7,8 rted data for mutations not included =-function study involving data from roretation of the data relies on the structure due to the mutations are side chain. Crystallographic studies A<sup>4</sup> and of the D355A,E357A double these proteins, and moreover sugde substitutions at these carboxylate ucture. For the other proteins in the imstantial evidence (wild-type levels duction yields and chromatographic ructural perturbations in any of the ence of further crystallographic data, it some of the mutations may cause

v 32, 8568 (1993).

subtle rearrangements within the active-site region, and this is an important caveat in a study of this type.

#### Reaction Mechanism

[28]

The structural and mutational data together have led to a proposed mechanism for the chemical step of the exonuclease reaction<sup>5,6</sup> (Fig. 3). In this mechanism, the pair of divalent metal ions (A and B), 4 Å apart, plays a pivotal role in the bond making and breaking processes. Metal A coordinates and polarizes the attacking water molecule. As the nucleophilic displacement takes place, the metal ions stabilize the developing negative charge on the pentacovalent phosphorus center, positioned between the two metal sites. Metal B is also available to stabilize nega-

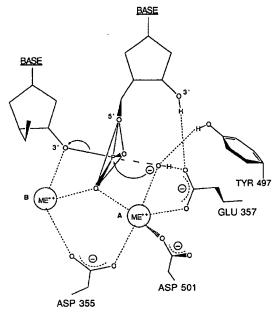


Fig. 3. The proposed transition state for the  $3' \rightarrow 5'$ -exonuclease reaction. The mechanism is thought to involve catalysis mediated by the two bound divalent metal ions (ME<sup>++</sup>). Metal ion A facilitates the formation of the attacking hydroxide ion, whose lone pair electrons are orientated toward the phosphorus by interactions with metal A, Tyr-497, and Glu-357. Metal ion B stabilizes the geometry and charge of the pentacovalent transition state and facilitates the departure of the 3' hydroxyl group. Reproduced, with permission from Oxford University Press, from L. Beese and T. A. Steitz, EMBO J. 10, 25 (1991).

tive charge on the leaving group and thus facilitate its departure. It has become increasingly apparent that two-metal-ion catalysis of this type may be a recurrent theme in phosphoryl transfer reactions, 28 so that the studies described here may have more generality than was at first supposed.

Although the mechanism of the chemical step of catalysis seems clear, we do not have a comparable understanding of the other steps that make up the kinetic pathway of the exonuclease reaction, nor do we know with certainty which step is rate limiting. It is clear from the steady-state kinetic parameters that there are substantial differences between the hydrolysis of single-stranded DNA and that of a duplex substrate. The exonucleolytic degradation of a duplex terminus is extremely slow ( $k_{cat}$  $\sim 10^{-3} \, \mathrm{sec^{-1}}$ ), but substrate binding appears to be very tight ( $K_{\mathrm{m}}$  estimated to be in the nanomolar range).<sup>29</sup> For this and other reasons, it has been suggested that a duplex DNA substrate binds first to the polymerase site of Klenow fragment and is then transferred to the exonuclease site for hydrolysis, with the transfer step being rate limiting for the wild-type enzyme.30 The degradation of single-stranded DNA is likely to be simpler kinetically since no transfer step is required, and the faster reaction (kcat  $\sim 0.1 \text{ sec}^{-1}$ ) and higher  $K_{\rm m}$  (5.6  $\times 10^{-7}$  M) are consistent with this expectation.8 In either reaction, one cannot assume that the same step will be rate limiting when comparing a mutant enzyme with wild type, so that the measured change in exonuclease rate will not necessarily reflect the decrease in rate of a single step of the reaction. Bearing this caveat in mind, it is still possible to provide a structural rationale for the observed properties of the mutant proteins (Table II). It is probably reasonable to infer that mutations that weaken the binding of the activesite metal ions have caused the chemical step to become rate limiting; in these cases the extremely low exonuclease rates would reflect a failure of chemical catalysis. (Moreover, since the chemical step was not rate limiting for wild type, the decrease in the rate of this step as a result of the mutation must be in excess of the 10<sup>4</sup>- to 10<sup>5</sup>-fold decrease measured for the overall reaction rate.) Other mutations clearly have an effect because they remove an important substrate contact, even though one cannot say at present whether the effect of this loss is manifested kinetically in substrate binding (in the hydrolysis of single-stranded DNA), or transfer from the polymerase site (in the reaction with duplex DNA), or in the

chemical step. Since some mutations depending on whether the substrate that the processes involved in bring site are not entirely analogous in the additional requirement for melting

Mutations in Carboxylate Ligands to

Figure 1 shows the details of the at the exonuclease active site. Metal coordination by one phosphate oxyge 355, Glu-357, and Asp-501, with a wa nucleophile) as a fifth ligand. Metal I phosphate oxygens, Asp-355 (shared) acting, via bridging water molecules, a studies of three different mutant prot ligand results in failure to bind one ( mechanistic standpoint, two crystallog cant. The D424A mutant protein bind in an apparently normal manner, but the D355A mutant protein binds subs Because both the D355A and D424A exonuclease activity (Table II), these ment of both metal ions in catalysis.

The dramatic effect on exonucleas site carboxylates is consistent with the metal ions. Additional inferences can detail. Mutations at Glu-357 are less s carboxylates, suggesting that Glu-357 The more important function of Glumade by this residue that contribute to and the attacking nucleophile (see substitutions at Asp-355, Asp-424, as with the detailed coordination descri 355 and Asp-424 use both of the carb tion, and therefore the Asn substitutio By contrast, Asp-501 uses only one oxy mutation has very little effect on the ex-E357A,D501N mutation causes a much than would be expected from the con

<sup>&</sup>lt;sup>28</sup> T. A. Steitz and J. A. Steitz, Proc. Natl. Acad. Sci. USA 90, 6498 (1993).

<sup>&</sup>lt;sup>29</sup> R. D. Kuchta, P. Benkovic, and S. J. Benkovic, Biochemistry 27, 6716 (1988).

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DIES OF DNA POLYMERASES

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Acad. Sci. USA **90**, 6498 (1993). hkovic, Biochemistry **27**, 6716 (1988). nkovic, Biochemistry **29**, 3612 (1990). chemical step. Since some mutations have quantitatively different effects depending on whether the substrate is single or double stranded, it seems that the processes involved in bringing the substrate to the exonuclease site are not entirely analogous in the two cases, perhaps because of the additional requirement for melting of a duplex terminus.

#### Mutations in Carboxylate Ligands to the Metal Ions

Figure 1 shows the details of the coordination of the two metal ions at the exonuclease active site. Metal A is bound in distorted tetrahedral coordination by one phosphate oxygen and the carboxylate groups of Asp-355, Glu-357, and Asp-501, with a water molecule (the proposed attacking nucleophile) as a fifth ligand. Metal B has octahedral coordination to two phosphate oxygens, Asp-355 (shared with metal A) and Asp-424, the latter acting, via bridging water molecules, as a bidendate ligand. Crystallographic studies of three different mutant proteins have shown that loss of a metal ligand results in failure to bind one or more of the metal ions.<sup>4,7</sup> From a mechanistic standpoint, two crystallographic results are particularly significant. The D424A mutant protein binds metal A and substrate (or product) in an apparently normal manner, but fails to bind metal B.5-7 Conversely, the D355A mutant protein binds substrate and metal B but not metal A.4 Because both the D355A and D424A mutant proteins have extremely low exonuclease activity (Table II), these data support the proposed involvement of both metal ions in catalysis.

The dramatic effect on exonuclease activity of mutations in the activesite carboxylates is consistent with the important role proposed for the metal ions. Additional inferences can be made by examining the data in detail. Mutations at Glu-357 are less severe than those at the other three carboxylates, suggesting that Glu-357 is the least important metal ligand. The more important function of Glu-357 may involve other interactions made by this residue that contribute to positioning the terminal nucleotide and the attacking nucleophile (see below). The results of asparagine substitutions at Asp-355, Asp-424, and Asp-501 are entirely consistent with the detailed coordination described for these residues. Both Asp-355 and Asp-424 use both of the carboxylate oxygens in metal coordination, and therefore the Asn substitution is not tolerated at these positions. By contrast, Asp-501 uses only one oxygen in metal binding and the D501N mutation has very little effect on the exonuclease activity. Interestingly, the E357A,D501N mutation causes a much greater loss in exonuclease activity than would be expected from the combination of the effects of the two

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single mutations. This might be the consequence of losing two negative charges in the metal-binding region or, perhaps, Asp-501 can assist in binding the attacking nucleophile when Glu-357 is absent.

#### Mutations in Residues That Contact the Substrate

The effect on the exonuclease reaction of mutations in these residues is variable but quantitatively smaller than the effect of mutations in the metal ligands (Table II). We have therefore concluded that these residues play an important but less pivotal role than the metal ions and their ligands. The structural data suggest a probable role for these side chains in presenting the DNA substrate and the attacking nucleophile in the correct orientation for efficient catalysis. One of the most important residues in this category is likely to be Glu-357, whose carboxylate side chain is involved in a complex network of interactions.<sup>6</sup> One carboxylate oxygen interacts with metal A, while the other serves as a hydrogen-bond acceptor, both to the 3' hydroxyl of the substrate and to the attacking water molecule. Given the involvement of both oxygens in these interactions, the severe effect of the glutamine substitution (E357Q) is as expected. Intriguingly, the E357A mutation seems better tolerated than E357Q, perhaps because the smaller alanine side chain allows access of a water molecule. The results of mutations at Tyr-497 confirm the importance of the observed hydrogenbonding interaction between the phenolic hydroxyl and one of the oxygens of the terminal phosphodiester bond, since removal of the hydroxyl group alone (Y497F) has a similar effect to removal of the entire side chain (Y497A). The properties of the remaining mutations presumably reflect the varying degrees of importance of the interactions between the protein and the single-stranded terminal region of the DNA substrate. The stacking interaction between the terminal base and Phe-473 is clearly of primary importance. The Leu-361 residue is particularly interesting in that the L361A mutation has a much greater effect on the hydrolysis of duplex DNA than on hydrolysis of single-stranded DNA, implying that the intercalation of Leu-361 between the nucleotide bases at the 3' terminus may be particularly important in the fraying that must accompany movement of a duplex substrate into the exonuclease site. The observed interactions between Gln-419 and Arg-455 and the phosphodiester backbone upstream of the point of hydrolysis (see Table I) appear to make very little contribution to the overall reaction, at least with the assay methods currently used. Clearly, however, the introduction of a negative charge in this region (Q419E) has severe consequences, presumably by interfering with DNA binding.

 $3' \rightarrow 5'$ -Exonuclease Active Site o

It is now clear that all DNA poly possess three small sequence motifs et al.9 Conversely, in polymerases th: are either completely absent (as in : catalytic residues (as in the eukaryot nal alignment of the three Exo moi work as it became apparent that a aligned incorrectly. 11,12 (This incorre sions in the studies of T4 DNA poly that had been mistakenly assigned to proficient proteins,31 and led to a li exonuclease active site structures.32) 7 almost exactly the active site residue: ture (Fig. 1; Table I), leading to the conservation reflects a similarity in t Exo I motif contains the core sequence correspond to Asp-355 and Glu-357 sequence NX<sub>2-3</sub>(F/Y)D; in Klenow 1 Asp-424 and Asn-420, the latter intera of the 3' terminus.6 The Exo III moti the active site residues Tyr-497 and a

Table III summarizes the results nuclease motifs of a number of DNA tions outside of the highly conserve consideration since, in the absence cannot be assessed.) The data of T. the proposal of a common active-si polymerases. Many of the exonuclease levels of polymerase activity, consiste exonuclease active sites that are struc Moreover, when detailed studies have constants for the polymerase reactior by mutations at the exonuclease site.<sup>33</sup>

L. J. Reha-Krantz, S. Stocki, R. L. Nonay, E. I and E. K. Spicer, Proc. Natl. Acad. Sci. USA
 L. J. Reha-Krantz, Gene 112, 133 (1992).

<sup>&</sup>lt;sup>33</sup> B. T. Eger, R. D. Kuchta, S. S. Carroll, P. A.
S. J. Benkovic, *Biochemistry* 30, 1441 (1991).
<sup>34</sup> S. S. Patel, I. Wong, and K. A. Johnson, *Biochemistry* 30, 1441 (1991).

<sup>&</sup>lt;sup>35</sup> M. W. Frey, N. G. Nossal, T. L. Capson, and 90, 2579 (1993).

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3' → 5'-Exonuclease Active Site of Other DNA Polymerases

It is now clear that all DNA polymerases that have an editing function possess three small sequence motifs, named Exo I, II, and III by Bernad et al. Conversely, in polymerases that do not have this function, the motifs are either completely absent (as in Taq DNA polymerase) or lack critical catalytic residues (as in the eukaryotic  $\alpha$  DNA polymerases). 11,12 The original alignment of the three Exo motifs9 has been modified in subsequent work as it became apparent that a few polymerase sequences had been aligned incorrectly. 11,12 (This incorrect alignment had significant repercussions in the studies of T4 DNA polymerase, since mutagenesis of residues that had been mistakenly assigned to the Exo I region yielded exonucleaseproficient proteins,<sup>31</sup> and led to a lively debate as to the universality of exonuclease active site structures. 32) The three Exo sequence motifs parallel almost exactly the active site residues noted in the Klenow fragment structure (Fig. 1; Table I), leading to the obvious inference that the sequence conservation reflects a similarity in the active sites of these enzymes. The Exo I motif contains the core sequence DXE, where the two acidic residues correspond to Asp-355 and Glu-357 of Klenow fragment. Exo II has the sequence NX<sub>2-3</sub>(F/Y)D; in Klenow fragment the conserved residues are Asp-424 and Asn-420, the latter interacting with the substrate just upstream of the 3' terminus. The Exo III motif has the sequence YX3D, containing the active site residues Tyr-497 and Asp-501 in Klenow fragment.

Table III summarizes the results of mutations in the conserved exonuclease motifs of a number of DNA polymerases. (For simplicity, mutations outside of the highly conserved residues have been omitted from consideration since, in the absence of structural data, their significance cannot be assessed.) The data of Table III provide strong support for the proposal of a common active-site architecture for all proofreading polymerases. Many of the exonuclease-deficient derivatives have wild-type levels of polymerase activity, consistent with the idea of polymerase and exonuclease active sites that are structurally independent of one another. Moreover, when detailed studies have been carried out, individual kinetic constants for the polymerase reaction have been found to be unaffected by mutations at the exonuclease site.<sup>33-35</sup> In a few enzymes (herpes simplex

<sup>&</sup>lt;sup>31</sup> L. J. Reha-Krantz, S. Stocki, R. L. Nonay, E. Dimayuga, L. D. Goodrich, W. H. Konigsberg, and E. K. Spicer, *Proc. Natl. Acad. Sci. USA* 88, 2417 (1991).

<sup>32</sup> L. J. Reha-Krantz, Gene 112, 133 (1992).

<sup>&</sup>lt;sup>33</sup> B. T. Eger, R. D. Kuchta, S. S. Carroll, P. A. Benkovic, M. E. Dahlberg, C. M. Joyce, and S. J. Benkovic, *Biochemistry* 30, 1441 (1991).

<sup>&</sup>lt;sup>34</sup> S. S. Patel, I. Wong, and K. A. Johnson, *Biochemistry* **30**, 511 (1991).

<sup>&</sup>lt;sup>35</sup> M. W. Frey, N. G. Nossal, T. L. Capson, and S. J. Benkovic, *Proc. Natl. Acad. Sci. USA* 90, 2579 (1993).

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virus DNA polymerase,  $^{36}$   $\phi$ 29 DNA polymerase,  $^{37}$  Bacillus subtilis DNA polymerase III,  $^{38}$  and yeast mitochondrial DNA polymerase  $^{39}$ ), mutations in the exonuclease region have been found to influence some aspects of the polymerase reaction. The reasons for this are unclear at present.

## Uses of Exonuclease-Deficient DNA Polymerases

Many of the mutations listed in Table III were made solely to test the hypothesis of a conserved exonuclease active site. Others were constructed, using the sequence alignments as a guide, to facilitate particular experiments or to provide a research tool with wider applications. For the T4 and T7 DNA polymerases, both of which have very active  $3' \rightarrow 5'$ -exonucleases, removal of the exonuclease was a necessary prerequisite for detailed kinetic studies of the polymerase function. Exonuclease-deficient derivatives of Klenow fragment have proved invaluable in studying reactions involving mispaired bases at the polymerase site. Inactivation of the exonuclease is also necessary for many biophysical experiments investigating the interaction between a polymerase and its DNA substrate in the presence of catalytically important metal-ion cofactors.

The particular attributes of an exonuclease-deficient polymerase that may make it useful as a research tool in biochemical manipulations are well illustrated by the properties of exonuclease-deficient derivatives of T7 DNA polymerase, which have found widespread use in DNA sequencing. 41.42 Because exonuclease-deficient T7 DNA polymerase cannot carry out the "idling" reaction (the turnover of dNTPs to dNMPs resulting from repeated incorporation and exonucleolytic excision by a stalled polymerase), it is better able to carry out strand-displacement synthesis or to synthesize through regions of secondary structure. Moreover, analogs such as dideoxynucleotides or  $\alpha$ -thionucleotides are stably incorporated, instead of being rapidly removed by the exonuclease (as is the case with wild-type T7 DNA polymerase). The differences in the behavior of wild-type and exonuclease-deficient enzymes is much more pronounced for polymerases

such as the T7 enzyme, which have Klenow fragment with a slower exon ment can itself carry out strand-displa dideoxy- or  $\alpha$ -thionucleotide termin quencing gels as an exonuclease-del Klenow fragment, however, an exonuerable in circumstances where it is de of nucleotides, for example, when tryitide analog or isotopically labeled nuquantities. An important caveat whe zyme in a "filling-in" reaction is that may result in accumulation of prod than expected.<sup>43</sup>

Other applications of exonuclease vantage of the inability of these enz primer terminus. Although not widely oped for mutagenesis by forced misin using an exonuclease-deficient polym well-suited for random mutagenesis o of particular genetic traits by allele-si inability of the exonuclease-deficient matched terminus or, under the chose mismatch.45,46 Our understanding of t  $3' \rightarrow 5'$ -exonuclease active site of DNA chapter) is key to the development of e biotechnology tools. Because of this knto design mutations to make any poltherefore there are no restrictions on most appropriate characteristics for th

#### Acknowledgments

During this work we have benefitted imme exonuclease structure provided by Tom Steitz and Chen Sun for excellent technical assistance and to manuscript. This work was supported by the Nat to Nigel D. F. Grindley).

<sup>&</sup>lt;sup>36</sup> J. S. Gibbs, K. Weisshart, P. Digard, A. de Bruynkops, and D. M. Coen, *Mol. Cell. Biol.* 11, 4786 (1991).

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<sup>&</sup>lt;sup>39</sup> F. Foury and S. Vanderstraeten, *EMBO J.* 11, 2717 (1992).

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<sup>&</sup>lt;sup>44</sup> X. Liao and J. A. Wise, Gene 88, 107 (1990).

<sup>&</sup>lt;sup>45</sup> C. R. Newton, A. Graham, I. E. Heptinstall, S Nucleic Acids Res. 17, 2503 (1989).

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## DNA Polymerases

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de Bruynkops, and D. M. Coen, Mol. Cell. Biol. de Bruynkops, and D. M. Coen, Mol. Cell. Biol. de Brown, A. Blasco, M. Salas, and Kennedy, S. L. Mack, and N. C. Brown, Gene 111, J. 11, 2717 (1992).

J. 11, 2717 (1992). rrindley, J. Biol. Chem. 267, 24,485 (1992). Vall. Acad. Sci. USA 84, 4767 (1987). Chem. 264, 6447 (1989). such as the T7 enzyme, which have a very active exonuclease, than for Klenow fragment with a slower exonuclease. Thus, wild-type Klenow fragment can itself carry out strand-displacement synthesis, is unable to degrade dideoxy- or  $\alpha$ -thionucleotide termini, and gives the same pattern on sequencing gels as an exonuclease-deficient derivative. <sup>20</sup> Even when using Klenow fragment, however, an exonuclease-deficient enzyme may be preferable in circumstances where it is desirable to eliminate wasteful turnover of nucleotides, for example, when trying to incorporate a particular nucleotide analog or isotopically labeled nucleotide that is available only in small quantities. An important caveat when using an exonuclease-deficient enzyme in a "filling-in" reaction is that removal of the exonuclease activity may result in accumulation of products that are one nucleotide longer than expected. <sup>43</sup>

Other applications of exonuclease-deficient DNA polymerases take advantage of the inability of these enzymes to excise a mismatched DNA primer terminus. Although not widely used, procedures have been developed for mutagenesis by forced misincorporation and mismatch extension using an exonuclease-deficient polymerase, a strategy that is particularly well-suited for random mutagenesis over a defined region.<sup>44</sup> The detection of particular genetic traits by allele-specific amplification is based on the inability of the exonuclease-deficient polymerase either to remove a mismatched terminus or, under the chosen reaction conditions, to extend the mismatch. 45,46 Our understanding of the structure and mechanism of the  $3' \rightarrow 5'$ -exonuclease active site of DNA polymerases (as summarized in this chapter) is key to the development of exonuclease-deficient polymerases as biotechnology tools. Because of this knowledge, it should be a simple matter to design mutations to make any polymerase exonuclease deficient, and therefore there are no restrictions on choosing the polymerase with the most appropriate characteristics for the desired application.

## Acknowledgments

During this work we have benefitted immensely from the insights into the  $3' \rightarrow 5'$ -exonuclease structure provided by Tom Steitz and colleagues. We are also grateful to Xiaojun Chen Sun for excellent technical assistance and to Nigel Grindley for a critical reading of the manuscript. This work was supported by the National Institutes of Health (grant GM-28550 to Nigel D. F. Grindley).

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Please note that only upper-case letters are considered to be aligned.

# Alignment (DIALIGN format):

Pfu Tgo KOD Vent Deep JDF-3	1 1 1 1 1	MILDTDYITE MILDTDYITE MILDTDYITK MILDADYITE	DGKPVIRIFK DGKPVIRIFK DGKPIIRIFK DGKPIIRIFK	KENGEFKIDY KENGEFKIEY KENGEFKIEL KENGEFKVEY	DRTFRPYIYA DRNFEPYIYA DRTFEPYFYA DPHFQPYIYA DRNFRPYIYA DREFEPYFYA	LLKDDSAIED LLKDDSAIEE LLKDDSAIEE LLKDDSQIDE	
Pfu Tgo KOD Vent Deep JDF-3	51 51 51 51 51 51	VKKITAERHG VKKITAERHG IKAIKGERHG VRKITAERHG	TTVRVVRAEK TVVTVKRVEK KTVRVLDAVK KIVRIIDAEK	VKKKFLGRPI VQKKFLGRPV VRKKFLGREV VRKKFLGRPI	TVWKLYLEHP EVWKLYFTHP EVWKLYFTHP EVWKLIFEHP EVWRLYFEHP EVWVLYFTHP	QDVPAIRDKI QDVPAIRDKI QDVPAMRGKI QDVPAIRDKI	
					7	DXE (exo	1)
Pfu Tgo KOD Vent Deep JDF-3	101 101 101 101 101 101	KEHPAVVDIY REHGAVIDIY REHPAVVDIY REHSAVIDIF	EYDIPFAKRY EYDIPFAKRY EYDIPFAKRY EYDIPFAKRY	LIDKGLIPME LIDKGLIPME LIDKGLIPME LIDKGLIPME	GEEELKILAF GDEELKMLAF GDEELKMLAF GDEELKLLAF GDEELKLLAF GEEELKLMSF	DIETLYHEGE DIGTLYHEGE DIETFYHEGD DIETLYHEGE	
Pfu Tgo KOD Vent Deep JDF-3	151 151 151 151 151 151	EFAEGPILMI EFAEGPILMI EFGKGEIIMI EFAKGPIIMI	SYADEEGARV SYADEEGARV SYADEEEARV SYADEEEAKV	ITWKNIDLPY ITWKNVDLPY ITWKNIDLPY ITWKKIDLPY	VEVVSSEREM VDVVSTEKEM VDVVSTEREM VDVVSNEREM VEVVSSEREM VEVVSTEKEM	IKRFLKVVKE IKRFLRVVKE IKRFvQVVKE IKRFLKVIRE	
<b>3</b> 2. <b>3</b>							
		N	1Xz-3FD (ex	o I)			
Pfu Tgo KOD Vent Deep JDF-3	201 201 201 201 201 201	KDPDVLITYN KDPDVLITYN KDPDVIITYN KDPDVIITYN	GDNFDFAYLK GDNFDFAYLK GDNFDLPYLI GDSFDLPYLV	KRSEKLGVKF KRCEKLGINF KRAEKLGVRL KRAEKLGIKL	TIGRDGSE ILGREGSE ALGRDGSE VLGRDkehpE PLGRDGSE TLGRDGSE	PKIQRMGDRF PKIQRMGDRF PKIQRMGDSF PKMQRLGDMT	
Pfu Tgo KOD	249 249 249	AVEVKGRIHF	DLYPVIRRTI	NLPTYTLEAV	YEAIFGKPKE YEAIFGQPKE YEAVFGQPKE	KVYAEEIAQA	

EXHIBIT В

Vent Deep JDF-3	251 249 249	AVEIKGRIHF DLFPVVRRTI NLPTYTLEAV YEAVLGKTKS KLGAEEIAAI AVEIKGRIHF DLYHVIRRTI NLPTYTLEAV YEAIFGKPKE KVYAHEIAEA AVEVKGRVHF DLYPVIRRTI NLPTYTLEAV YEAVFGKPKE KVYAEEIATA
Pfu Tgo KOD Vent Deep JDF-3	299 299 299 301 299 299	WESGENLERV AKYSMEDAKA TYELGKEFLP MEIQLSRLVG QPLWDVSRSS WETGEGLERV ARYSMEDAKV TYELGKEFLP MEAQLSRLVG QSLWDVSRSS WETGENLERV ARYSMEDAKV TYELGKEFLP MEAQLSRLIG QSLWDVSRSS WETESMKKL AQYSMEDAKA TYELGKEFFP MEAELAKLIG QSVWDVSRSS WETGKGLERV AKYSMEDAKV TYELGREFFP MEAQLSRLVG QPLWDVSRSS WETGEGLERV ARYSMEDARV TYELGREFFP MEAQLSRLIG QGLWDVSRSS
Pfu Tgo KOD Vent Deep JDF-3	349 349 349 351 349 349	TGNLVEWFLL RKAYERNEVA PNKPSEEEYQ RRLRESYTGG FVKEPEKGLW TGNLVEWFLL RKAYERNELA PNKPDERELA RR-RESYAGG YVKEPERGLW TGNLVEWFLL RKAYERNELA PNKPDEKELA RR-RQSYEGG YVKEPERGLW TGNLVEWYLL RVAYARNELA PNKPDEEEYK RRLRTTYLGG YVKEPEKGLW TGNLVEWYLL RKAYERNELA PNKPDEREYE RRLRESYAGG YVKEPEKGLW TGNLVEWFLL RKAYERNELA PNKPDERELA RR-RggYAGG YVKEPERGLW
Pfu Tgo KOD Vent Deep JDF-3	399 398 398 401 399 398	ENIVYLDFRA LYPSIIITHN VSPDTLNLEG CKNYDIAPQV GHKFCKDIPG ENIVYLDFRS LYPSIIITHN VSPDTLNREG CEEYDVAPQV GHKFCKDFPG ENIVYLDFRS LYPSIIITHN VSPDTLNREG CKEYDVAPQV GHRFCKDFPG ENIIYLDFRS LYPSIIVTHN VSPDTLEKEG CKNYDVAPIV GYRFCKDFPG EGLVSLDFRS LYPSIIITHN VSPDTLNREG CREYDVAPEV GHKFCKDFPG DNIVYLDFRS LYPSIIITHN VSPDTLNREG CRSYDVAPEV GHKFCKDFPG
Pfu Tgo KOD Vent Deep JDF-3	449 448 448 451 449 448	FIPSLLGHLL EERQKIKTKM KETQDPIEKI LLDYRQKAIK LLANSFYGYY FIPSLLGDLL EERQKVKKKM KATIDPIEKK LLDYRQRAIK ILANSFYGYY FIPSLLGDLL EERQKIKKKM KATIDPIEKK LLDYRQRAIK ILANSYYGYY FIPSILGDLI AMRQDIKKKM KSTIDPIEKK MLDYRQRAIK LLANSYYGYM FIPSLLKRLL DERQEIKRKM KASKDPIEKK MLDYRQRAIK ILANSYYGYY FIPSLLGNLL EERQKIKRKM KATLDPLEKN LLDYRQRAIK ILANSYYGYY
Pfu Tgo KOD Vent Deep JDF-3	499 498 498 501 499 498	GYAKARWYCK ECAESVTAWG RKYIELVWKE LEEKFGFKVL YIDTDGLYAT GYAKARWYCK ECAESVTAWG RQYIETTIRE IEEKFGFKVL YADTDGFFAT GYARARWYCK ECAESVTAWG REYITMTIKE IEEKYGFKVI YSDTDGFFAT GYPKARWYSK ECAESVTAWG RHYIEMTIRE IEEKFGFKVL YADTDGFYAT GYAKARWYCK ECAESVTAWG REYIEFVRKE LEEKFGFKVL YIDTDGLYAT GYARARWYCR ECAESVTAWG REYIEMVIRE LEEKFGFKVL YADTDGLHAT
Pfu Tgo	549 548	IPGGESEEIK KKALEFVKYI NSKLPGLLEL EYEGFYKRGF FVTKKRYAVI IPGADAETVK KKAKEFLDYI NAKLPGLLEL EYEGFYKRGF FVTKKKYAVI

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KOD Vent Deep JDF-3	548 551 549 548	IPGEKPELIK IPGAKPEEIK	KKAKEFLNYI KKALEFVDYI	NSKLPGLLEL NAKLPGLLEL	EYEGFYKRGF EYEGFYLRGF EYEGFYVRGF EYEGFYVRGF	FVTKKRYAVI FVTKKKYALI
Pfu Tgo KOD Vent Deep JDF-3	599 598 598 601 599 598	DEEDKITTRG DEEGKITTRG DEEGRITTRG DEEGKIITRG	LEIVRRDWSE LEIVRRDWSE LEIVRRDWSE	IAKETQARVL IAKETQAKVL IAKETQAKVL	ETILKHGDVE EAILKHGDVE EALLKDGDVE EAILKEGSVE EAILKHGNVE EAILRHGDVE	EAVRIVKEVT KAVRIVKEVT KAVEVVRDVV EAVKIVKEVT
Pfu Tgo KOD Vent Deep JDF-3	649 648 648 651 649 648	EKLSKYEVPP EKLSKYEVPP EKIAKYRVPL EKLSKYEIPP	EKLVIYEQIT EKLVIHEQIT EKLVIHEQIT EKLVIYEQIT	RDLKDYKATG RDLKDYKATG RDLKDYKAIG RPLHEYKAIG	PHVAVAKKLA PHVAVAKRLA PHVAVAKRLA PHVAIAKRLA PHVAVAKRLA PHVAIAKRLA	ARGIKIRPGT ARGVKIRPGT ARGIKVKPGT ARGVKVRPGM
Pfu Tgo KOD Vent Deep JDF-3	699 698 698 701 699 698	VISYIVLKGS VISYIVLKGS IISYIVLKGS VIGYIVLRGD	GRIGDRAIPF GRIGDRAIPF GKISDRVILL GPISKRAILA	DEFDPAKHKY DEFDPTKHKY TEYDPRKHKY EEFDLRKHKY	DAEYYIENQV DAEYYIENQV DAEYYIENQV DPDYYIENQV DAEYYIENQV DADYYIENQV	LPAVERILRA LPAVERILRA LPAVLRILEA LPAVLRILEA
Pfu Tgo KOD Vent Deep JDF-3	749 748 748 751 749 748	FGYRKEDLRY FGYRKEDLRY FGYRKEDLRY FGYRKEDLRW	QKTRQVGLTS QKTRQVGLGA QKTRQVGLSA QSSKQTGLDA QKTKQTGLTA QKTRQVGLGA	WLKPKt WLKPKGt WLKr WLNIKKk		

# Alignment (FASTA format):

#### >Pfu

MILDVDYITEEGKPVIRLFKKENGKFKIEHDRTFRPYIYALLRDDSKIEE VKKITGERHGKIVRIVDVEKVEKKFLGKPITVWKLYLEHPQDVPTIREKV REHPAVVDIFEYDIPFAKRYLIDKGLIPMEGEEELKILAFDIETLYHEGE EFGKGPIIMISYADENEAKVITWKNIDLPYVEVVSSEREMIKRFLRIIRE KDPDIIVTYNGDSFDFPYLAKRAEKLGIKLTIGRDGS--EPKMQRIGDMT AVEVKGRIHFDLYHVITRTINLPTYTLEAVYEAIFGKPKEKVYADEIAKA

WESGENLERVAKYSMEDAKATYELGKEFLPMEIQLSRLVGQPLWDVSRSS TGNLVEWFLLRKAYERNEVAPNKPSEEEYQRRLRESYTGGFVKEPEKGLW ENIVYLDFRALYPSIIITHNVSPDTLNLEGCKNYDIAPQVGHKFCKDIPG FIPSLLGHLLEERQKIKTKMKETQDPIEKILLDYRQKAIKLLANSFYGYY GYAKARWYCKECAESVTAWGRKYIELVWKELEEKFGFKVLYIDTDGLYAT IPGGESEEIKKKALEFVKYINSKLPGLLELEYEGFYKRGFFVTKKRYAVI DEEGKVITRGLEIVRRDWSEIAKETQARVLETILKHGDVEEAVRIVKEVI QKLANYEIPPEKLAIYEQITRPLHEYKAIGPHVAVAKKLAAKGVKIKPGM VIGYIVLRGDGPISNRAILAEEYDPKKHKYDAEYYIENQVLPAVLRILEG FGYRKEDLRYQKTRQVGLTSWLNIKKS--

#### >Tgo

MILDTDYITEDGKPVIRIFKKENGEFKIDYDRNFEPYIYALLKDDSAIED VKKITAERHGTTVRVVRAEKVKKKFLGRPIEVWKLYFTHPQDVPAIRDKI KEHPAVVDIYEYDIPFAKRYLIDKGLIPMEGDEELKMLAFDIETLYHEGE **EFAEGPILMISYADEEGARVITWKNIDLPYVDVVSTEKEMIKRFLKVVKE** KDPDVLITYNGDNFDFAYLKKRSEKLGVKFILGREGS--EPKIQRMGDRF AVEVKGRIHFDLYPVIRRTINLPTYTLEAVYEAIFGQPKEKVYAEEIAQA WETGEGLERVARYSMEDAKVTYELGKEFFPMEAQLSRLVGQSLWDVSRSS TGNLVEWFLLRKAYERNELAPNKPDERELARR-RESYAGGYVKEPERGLW ENIVYLDFRSLYPS I I ITHNVSPDTLNREGCEEYDVAPQVGHKFCKDFPG FIPSLLGDLLEERQKVKKKMKATIDPIEKKLLDYRQRAIKILANSFYGYY GYAKARWYCKECAESVTAWGRQYIETTIREIEEKFGFKVLYADTDGFFAT IPGADAETVKKKAKEFLDYINAKLPGLLELEYEGFYKRGFFVTKKKYAVI DEEDKITTRGLEIVRRDWSEIAKETQARVLEAILKHGDVEEAVRIVKEVT EKLSKYEVPPEKLVIYEQITRDLKDYKATGPHVAVAKRLAARGIKIRPGT VISYIVLKGSGRIGDRAIPFDEFDPAKHKYDAEYYIENQVLPAVERILRA FGYRKEDLRYQKTRQVGLGAWLKPKt---

#### >KOD

MILDTDYITEDGKPVIRIFKKENGEFKIEYDRTFEPYFYALLKDDSAIEE VKKITAERHGTVVTVKRVEKVQKKFLGRPVEVWKLYFTHPQDVPAIRDKI REHGAVIDIYEYDIPFAKRYLIDKGLVPMEGDEELKMLAFDIQTLYHEGE EFAEGPILMISYADEEGARVITWKNVDLPYVDVVSTEREMIKRFLRVVKE KDPDVLITYNGDNFDFAYLKKRCEKLGINFALGRDGS--EPKIQRMGDRF AVEVKGRIHFDLYPVIRRTINLPTYTLEAVYEAVFGQPKEKVYAEEITPA WETGENLERVARYSMEDAKVTYELGKEFLPMEAQLSRLIGQSLWDVSRSS TGNLVEWFLLRKAYERNELAPNKPDEKELARR-RQSYEGGYVKEPERGLW ENIVYLDFRSLYPSIIITHNVSPDTLNREGCKEYDVAPQVGHRFCKDFPG FIPSLLGDLLEERQKIKKKMKATIDPIERKLLDYRQRAIKILANSYYGYY GYARARWYCKECAESVTAWGREYITMTIKEIEEKYGFKVIYSDTDGFFAT IPGADAETVKKKAMEFLNYINAKLPGALELEYEGFYKRGFFVTKKKYAVI DEEGKITTRGLEIVRRDWSEIAKETQARVLEALLKDGDVEKAVRIVKEVT EKLSKYEVPPEKLVIHEQITRDLKDYKATGPHVAVAKRLAARGVKIRPGT VISYIVLKGSGRIGDRAIPFDEFDPTKHKYDAEYYIENQVLPAVERILRA FGYRKEDLRYQKTRQVGLSAWLKPKGt--

## >Vent

MILDTDYITKDGKPIIRIFKKENGEFKIELDPHFQPYIYALLKDDSAIEE
IKAIKGERHGKTVRVLDAVKVRKKFLGREVEVWKLIFEHPQDVPAMRGKI
REHPAVVDIYEYDIPFAKRYLIDKGLIPMEGDEELKLLAFDIETFYHEGD
EFGKGEIIMISYADEEEARVITWKNIDLPYVDVVSNEREMIKRFvQVVKE
KDPDVIITYNGDNFDLPYLIKRAEKLGVRLVLGRDkehpEPKIQRMGDSF
AVEIKGRIHFDLFPVVRRTINLPTYTLEAVYEAVLGKTKSKLGAEEIAAI
WETEESMKKLAQYSMEDARATYELGKEFFPMEAELAKLIGQSVWDVSRSS
TGNLVEWYLLRVAYARNELAPNKPDEEEYKRRLRTTYLGGYVKEPEKGLW
ENIIYLDFRSLYPSIIVTHNVSPDTLEKEGCKNYDVAPIVGYRFCKDFPG
FIPSILGDLIAMRQDIKKKMKSTIDPIEKKMLDYRQRAIKLLANSYYGYM
GYPKARWYSKECAESVTAWGRHYIEMTIREIEEKFGFKVLYADTDGFYAT

IPGEKPELIKKKAKEFLNYINSKLPGLLELEYEGFYLRGFFVTKKRYAVI
DEEGRITTRGLEVVRRDWSEIAKETQAKVLEAILKEGSVEKAVEVVRDVV
EKIAKYRVPLEKLVIHEQITRDLKDYKAIGPHVAIAKRLAARGIKVKPGT
IISYIVLKGSGKISDRVILLTEYDPRKHKYDPDYYIENQVLPAVLRILEA
FGYRKEDLRYQSSKQTGLDAWLKr-----

### >Deep

MILDADYITEDGKPIIRIFKKENGEFKVEYDRNFRPYIYALLKDDSQIDE VRKITAERHGKIVRIIDAEKVRKKFLGRPIEVWRLYFEHPQDVPAIRDKI REHSAVIDIFEYDIPFAKRYLIDKGLIPMEGDEELKLLAFDIETLYHEGE **EFAKGPIIMISYADEEEAKVITWKKIDLPYVEVVSSEREMIKRFLKVIRE** KDPDVIITYNGDSFDLPYLVKRAEKLGIKLPLGRDGS--EPKMQRLGDMT AVEIKGRIHFDLYHVIRRTINLPTYTLEAVYEAIFGKPKEKVYAHEIAEA WETGKGLERVAKYSMEDAKVTYELGREFFPMEAQLSRLVGQPLWDVSRSS TGNLVEWYLLRKAYERNELAPNKPDEREYERRLRESYAGGYVKEPEKGLW EGLVSLDFRSLYPSIIITHNVSPDTLNREGCREYDVAPEVGHKFCKDFPG FIPSLLKRLLDERQEIKRKMKASKDPIEKKMLDYRQRAIKILANSYYGYY GYAKARWYCKECAESVTAWGREYIEFVRKELEEKFGFKVLYIDTDGLYAT IPGAKPEEIKKKALEFVDYINAKLPGLLELEYEGFYVRGFFVTKKKYALI DEEGKIITRGLEIVRRDWSEIAKETQAKVLEAILKHGNVEEAVKIVKEVT EKLSKYEIPPEKLVIYEQITRPLHEYKAIGPHVAVAKRLAARGVKVRPGM VIGYIVLRGDGPISKRAILAEEFDLRKHKYDAEYYIENQVLPAVLRILEA FGYRKEDLRWQKTKQTGLTAWLNIKKk--

### >JDF-3

MILDVDYITENGKPVIRVFKKENGEFRIEYDREFEPYFYALLRDDSAIEE IKKITAERHGRVVKVKRAEKVKKKFLGRSVEVWVLYFTHPQDVPAIRDKI RKHPAVIDIYEYDIPFAKRYLIDKGLIPMEGEEELKLMSFDIETLYHEGE EFGTGPILMISYADESEARVITWKKIDLPYVEVVSTEKEMIKRFLRVVKE KDPDVLITYNGDNFDFAYLKKRCEKLGVSFTLGRDGS--EPKIQRMGDRF AVEVKGRVHFDLYPVIRRTINLPTYTLEAVYEAVFGKPKEKVYAEEIATA WETGEGLERVARYSMEDARVTYELGREFFPMEAQLSRLIGQGLWDVSRSS TGNLVEWFLLRKAYERNELAPNKPDERELARR-RggYAGGYVKEPERGLW DNIVYLDFRSLYPSIIITHNVSPDTLNREGCRSYDVAPEVGHKFCKDFPG FIPSLLGNLLEERQKIKRKMKATLDPLEKNLLDYRQRAIKILANSYYGYY GYARARWYCRECAESVTAWGREYIEMVIRELEEKFGFKVLYADTDGLHAT IPGADAETVKKKAMEFLNYINPKLPGLLELEYEGFYVRGFFVTKKKYAVI DEEGKITTRGLEIVRRDWSEIAKETQARVLEAILRHGDVEEAVRIVREVT EKLSKYEVPPEKLVIHEQITRELKDYKATGPHVAIAKRLAARGVKIRPGT VISYIVLKGSGRIGDRAIPFDEFDPTKHKYDADYYIENQVLPAVERILRA FGYRKEDLRYQKTRQVGLGAWLKPKGkkk

## Sequence tree:

## Tree constructed using UPGMA

(((Pfu : 0.000998.

Deep :0.000998):0.000080,

((Tgo :0.000905,

KOD :0.000905):0.000032,

JDF-3 :0.000937):0.000141):0.000067,

Vent :0.001144);

Please note that only upper-case letters are considered to be aligned.

## Alignment (DIALIGN format):

Pfu Tgo KOD Vent Deep JDF-3	1 1 1 1 1	MILDTDYITE MILDTDYITE MILDTDYITK MILDADYITE	DGKPVIRIFK DGKPVIRIFK DGKPIIRIFK DGKPIIRIFK	KENGKFKIEH KENGEFKIDY KENGEFKIEY KENGEFKIEL KENGEFKVEY KENGEFRIEY	DRNFEPYIYA DRTFEPYFYA DPHFQPYIYA DRNFRPYIYA	LLKDDSAIED LLKDDSAIEE LLKDDSAIEE LLKDDSQIDE
ne.	<b>5</b> 1	UNIVITACEDIIC	VIUDIUDUEV	AEAAE! CADI	TURNIN VI PID	ODUDATBEKU
Pfu Tgo KOD Vent	51 51 51 51	VKKITAERHG VKKITAERHG IKAIKGERHG	TTVRVVRAEK TVVTVKRVEK KTVRVLDAVK	VEKKFLGKPI VKKKFLGRPI VQKKFLGRPV VRKKFLGREV	EVWKLYFTHP EVWKLYFTHP EVWKLIFEHP	QDVPAIRDKI QDVPAIRDKI QDVPAMRGKI
Deep JDF-3	51 51			VRKKFLGRPI VKKKFLGRSV		=
Pfu Tgo	101 101			LIDKGLIPME LIDKGLIPME		
KOD	101	REHGAVIDIY	EYDIPFAKRY	LIDKGLVPME	${\tt GDEELKMLAF}$	DIQTLYHEGE
Vent Deep	101 101	REHSAVIDIF	EYDIPFAKRY	LIDKGLIPME LIDKGLIPME	GDEELKLLAF	DIETLYHEGE
JDF-3	101	KKNFAVIDII	EIDIFFARRI	LIDKGLIPME	GEEELKLMSF	DIEILINEGE
Pfu	151			ITWKNIDLPY		
Tgo KOD	151 151			ITWKNIDLPY ITWKNVDLPY		
Vent	151 151			ITWKNIDLPY ITWKKIDLPY		
Deep JDF-3	151			ITWKKIDLPY		
Pfu	201			KRAEKLGIKL		
Tgo KOD	201 201			KRSEKLGVKF KRCEKLGINF		
Vent	201	KDPDVIITYN	${\tt GDNFDLPYLI}$	KRAEKLGVRL	VLGRDkehpE	PKIQRMGDSF
Deep JDF-3	201 201			KRAEKLGIKL KRCEKLGVSF		PKMQRLGDMT PKIQRMGDRF
Pfu Tgo KOD	249 249 249	AVEVKGRIHF	DLYPVIRRTI		YEAIFGQPKE	KVYADEIAKA KVYAEEIAQA KVYAEEITPA
						EXHIBIT

Vent Deep JDF-3	251 249 249	AVEIKGRIHF DLFPVVRRTI NLPTYTLEAV YEAVLGKTKS KLGAEEIAAI AVEIKGRIHF DLYHVIRRTI NLPTYTLEAV YEAIFGKPKE KVYAHEIAEA AVEVKGRVHF DLYPVIRRTI NLPTYTLEAV YEAVFGKPKE KVYAEEIATA
Pfu Tgo KOD Vent Deep JDF-3	299 299 299 301 299 299	WESGENLERV AKYSMEDAKA TYELGKEFLP MEIQLSRLVG QPLWDVSRSS WETGEGLERV ARYSMEDAKV TYELGKEFFP MEAQLSRLVG QSLWDVSRSS WETGENLERV ARYSMEDAKV TYELGKEFLP MEAQLSRLIG QSLWDVSRSS WETEESMKKL AQYSMEDARA TYELGKEFFP MEAELAKLIG QSVWDVSRSS WETGKGLERV AKYSMEDAKV TYELGREFFP MEAQLSRLVG QPLWDVSRSS WETGEGLERV ARYSMEDARV TYELGREFFP MEAQLSRLIG QGLWDVSRSS
Pfu Tgo KOD Vent Deep JDF-3	349 349 349 351 349 349	TGNLVEWFLL RKAYERNEVA PNKPSEEEYQ RRLRESYTGG FVKEPEKGLW TGNLVEWFLL RKAYERNELA PNKPDERELA RR-RESYAGG YVKEPERGLW TGNLVEWFLL RKAYERNELA PNKPDEKELA RR-RQSYEGG YVKEPERGLW TGNLVEWYLL RVAYARNELA PNKPDEREYE RRLRESYAGG YVKEPEKGLW TGNLVEWFLL RKAYERNELA PNKPDEREYE RRLRESYAGG YVKEPEKGLW TGNLVEWFLL RKAYERNELA PNKPDERELA RR-RggYAGG YVKEPERGLW
Pfu Tgo KOD Vent Deep JDF-3	399 398 398 401 399 398	DXXSLYPSII (Region II) ENIVYLDFRA LYPSIIITHN VSPDTLNLEG CKNYDIAPQV GHKFCKDIPG ENIVYLDFRS LYPSIIITHN VSPDTLNREG CEEYDVAPQV GHKFCKDFPG ENIVYLDFRS LYPSIIITHN VSPDTLNREG CKEYDVAPQV GHRFCKDFPG ENIIYLDFRS LYPSIIITHN VSPDTLREG CKNYDVAPIV GYRFCKDFPG EGLVSLDFRS LYPSIIITHN VSPDTLNREG CREYDVAPEV GHKFCKDFPG DNIVYLDFRS LYPSIIITHN VSPDTLNREG CRSYDVAPEV GHKFCKDFPG
Pfu Tgo KOD Vent Deep JDF-3	449 448 448 451 449 448	FIPSLLGHLL EERQKIKTKM KETQDPIEKI LLDYRQKAIK LLANSFYGYY FIPSLLGDLL EERQKVKKKM KATIDPIEKK LLDYRQRAIK ILANSFYGYY FIPSLLGDLL EERQKIKKKM KATIDPIEKK LLDYRQRAIK ILANSYYGYY FIPSILGDLI AMRQDIKKKM KSTIDPIEKK MLDYRQRAIK LLANSYYGYM FIPSLLKRLL DERQEIKRKM KASKDPIEKK MLDYRQRAIK ILANSYYGYY FIPSLLGNLL EERQKIKRKM KATLDPLEKN LLDYRQRAIK ILANSYYGYY
Pfu Tgo KOD Vent Deep JDF-3	499 498 498 501 499 498	GYAKARWYCK ECAESVTAWG RKYIELVWKE LEEKFGFKVL YIDTDGLYAT GYAKARWYCK ECAESVTAWG RQYIETTIRE IEEKFGFKVL YADTDGFFAT GYARARWYCK ECAESVTAWG REYITMTIKE IEEKFGFKVL YADTDGFFAT GYAKARWYCK ECAESVTAWG RHYIEMTIRE IEEKFGFKVL YADTDGFYAT GYAKARWYCK ECAESVTAWG REYIEFVRKE LEEKFGFKVL YIDTDGLYAT GYARARWYCR ECAESVTAWG REYIEMVIRE LEEKFGFKVL YADTDGLHAT
Pfu Tgo	549 548	IPGGESEEIK KKALEFVKYI NSKLPGLLEL EYEGFYKRGF FVTKKRYAVI IPGADAETVK KKAKEFLDYI NAKLPGLLEL EYEGFYKRGF FVTKKKYAVI

*:* 

KOD Vent Deep JDF-3	548 551 549 548	IPGEKPELIK IPGAKPEEIK	KKAKEFLNYI KKALEFVDYI	NSKLPGLLEL NAKLPGLLEL	EYEGFYKRGF EYEGFYLRGF EYEGFYVRGF EYEGFYVRGF	FVTKKRYAVI FVTKKKYALI
Pfu Tgo KOD Vent Deep JDF-3	599 598 598 601 599 598	DEEDKITTRG DEEGKITTRG DEEGRITTRG DEEGKIITRG	LEIVRRDWSE LEIVRRDWSE LEVVRRDWSE LEIVRRDWSE	IAKETQARVL IAKETQAKVL IAKETQAKVL	ETILKHGDVE EAILKHGDVE EALLKDGDVE EAILKEGSVE EAILKHGNVE EAILRHGDVE	EAVRIVKEVT KAVRIVKEVT KAVEVVRDVV EAVKIVKEVT
Pfu Tgo KOD Vent Deep JDF-3	649 648 648 651 649 648	EKLSKYEVPP EKLSKYEVPP EKIAKYRVPL EKLSKYEIPP	EKLVIYEQIT EKLVIHEQIT EKLVIHEQIT EKLVIYEQIT	RDLKDYKATG RDLKDYKATG RDLKDYKAIG RPLHEYKAIG	PHVAVAKKLA PHVAVAKRLA PHVAVAKRLA PHVAIAKRLA PHVAVAKRLA PHVAIAKRLA	ARGIKIRPGT ARGVKIRPGT ARGIKVKPGT ARGVKVRPGM
Pfu Tgo KOD Vent Deep JDF-3	699 698 698 701 699 698	VISYIVLKGS VISYIVLKGS IISYIVLKGS VIGYIVLRGD	GRIGDRAIPF GRIGDRAIPF GKISDRVILL GPISKRAILA	DEFDPAKHKY DEFDPTKHKY TEYDPRKHKY EEFDLRKHKY	DAEYYIENQV DAEYYIENQV DAEYYIENQV DPDYYIENQV DAEYYIENQV DADYYIENQV	LPAVERILRA LPAVERILRA LPAVLRILEA
Pfu Tgo KOD Vent Deep JDF-3	749 748 748 751 749 748	FGYRKEDLRY FGYRKEDLRY FGYRKEDLRY FGYRKEDLRW	QKTRQVGLTS QKTRQVGLGA QKTRQVGLSA QSSKQTGLDA QKTKQTGLTA QKTRQVGLGA	WLKPKt WLKPKGt WLKr WLNIKKk	·	

## Alignment (FASTA format):

#### >Pfu

MILDVDYITEEGKPVIRLFKKENGKFKIEHDRTFRPYIYALLRDDSKIEE VKKITGERHGKIVRIVDVEKVEKKFLGKPITVWKLYLEHPQDVPTIREKV REHPAVVDIFEYDIPFAKRYLIDKGLIPMEGEEELKILAFDIETLYHEGE EFGKGPIIMISYADENEAKVITWKNIDLPYVEVVSSEREMIKRFLRIIRE KDPDIIVTYNGDSFDFPYLAKRAEKLGIKLTIGRDGS--EPKMQRIGDMT AVEVKGRIHFDLYHVITRTINLPTYTLEAVYEAIFGKPKEKVYADEIAKA

WESGENLERVAKYSMEDAKATYELGKEFLPMEIQLSRLVGQPLWDVSRSS
TGNLVEWFLLRKAYERNEVAPNKPSEEEYQRRLRESYTGGFVKEPEKGLW
ENIVYLDFRALYPSIIITHNVSPDTLNLEGCKNYDIAPQVGHKFCKDIPG
FIPSLLGHLLEERQKIKTKMKETQDPIEKILLDYRQKAIKLLANSFYGYY
GYAKARWYCKECAESVTAWGRKYIELVWKELEEKFGFKVLYIDTDGLYAT
IPGGESEEIKKKALEFVKYINSKLPGLLELEYEGFYKRGFFVTKKRYAVI
DEEGKVITRGLEIVRRDWSEIAKETQARVLETILKHGDVEEAVRIVKEVI
QKLANYEIPPEKLAIYEQITRPLHEYKAIGPHVAVAKKLAAKGVKIKPGM
VIGYIVLRGDGPISNRAILAEEYDPKKHKYDAEYYIENQVLPAVLRILEG
FGYRKEDLRYQKTRQVGLTSWLNIKKs---

#### >Tgo

MILDTDYITEDGKPVIRIFKKENGEFKIDYDRNFEPYIYALLKDDSAIED VKKITAERHGTTVRVVRAEKVKKKFLGRPIEVWKLYFTHPQDVPAIRDKI KEHPAVVDIYEYDIPFAKRYLIDKGLIPMEGDEELKMLAFDIETLYHEGE **EFAEGPILMISYADEEGARVITWKNIDLPYVDVVSTEKEMIKRFLKVVKE** KDPDVLITYNGDNFDFAYLKKRSEKLGVKFILGREGS-EPKIQRMGDRF AVEVKGRIHFDLYPVIRRTINLPTYTLEAVYEAIFGQPKEKVYAEEIAQA WETGEGLERVARYSMEDAKVTYELGKEFFPMEAQLSRLVGQSLWDVSRSS TGNLVEWFLLRKAYERNELAPNKPDERELARR-RESYAGGYVKEPERGLW ENIVYLDFRSLYPSIIITHNVSPDTLNREGCEEYDVAPQVGHKFCKDFPG FIPSLLGDLLEERQKVKKKMKATIDPIEKKLLDYRQRAIKILANSFYGYY GYAKARWYCKECAESVTAWGRQYIETTIREIEEKFGFKVLYADTDGFFAT IPGADAETVKKKAKEFLDYINAKLPGLLELEYEGFYKRGFFVTKKKYAVI DEEDKITTRGLEIVRRDWSEIAKETQARVLEAILKHGDVEEAVRIVKEVT EKLSKYEVPPEKLVIYEQITRDLKDYKATGPHVAVAKRLAARGIKIRPGT VISYIVLKGSGRIGDRAIPFDEFDPAKHKYDAEYYIENQVLPAVERILRA FGYRKEDLRYQKTRQVGLGAWLKPKt---

#### >KOD

MILDTDYITEDGKPVIRIFKKENGEFKIEYDRTFEPYFYALLKDDSAIEE VKKITAERHGTVVTVKRVEKVQKKFLGRPVEVWKLYFTHPQDVPAIRDKI REHGAVIDIYEYDIPFAKRYLIDKGLVPMEGDEELKMLAFDIQTLYHEGE **EFAEGPILMISYADEEGARVITWKNVDLPYVDVVSTEREMIKRFLRVVKE** KDPDVLITYNGDNFDFAYLKKRCEKLGINFALGRDGS--EPKIQRMGDRF AVEVKGRIHFDLYPVIRRTINLPTYTLEAVYEAVFGQPKEKVYAEEITPA WETGENLERVARYSMEDAKVTYELGKEFLPMEAQLSRLIGQSLWDVSRSS TGNLVEWFLLRKAYERNELAPNKPDEKELARR-RQSYEGGYVKEPERGLW ENIVYLDFRSLYPSIIITHNVSPDTLNREGCKEYDVAPQVGHRFCKDFPG FIPSLLGDLLEERQKIKKKMKATIDPIERKLLDYRQRAIKILANSYYGYY GYARARWYCKECAESVTAWGREYITMTIKEIEEKYGFKVIYSDTDGFFAT IPGADAETVKKKAMEFLNYINAKLPGALELEYEGFYKRGFFVTKKKYAVI DEEGKITTRGLEIVRRDWSEIAKETQARVLEALLKDGDVEKAVRIVKEVT EKLSKYEVPPEKLVIHEQITRDLKDYKATGPHVAVAKRLAARGVKIRPGT VISYIVLKGSGRIGDRAIPFDEFDPTKHKYDAEYYIENQVLPAVERILRA FGYRKEDLRYQKTRQVGLSAWLKPKGt--

## >Vent

MILDTDYITKDGKPIIRIFKKENGEFKIELDPHFQPYIYALLKDDSAIEE
IKAIKGERHGKTVRVLDAVKVRKKFLGREVEVWKLIFEHPQDVPAMRGKI
REHPAVVDIYEYDIPFAKRYLIDKGLIPMEGDEELKLLAFDIETFYHEGD
EFGKGEIIMISYADEEEARVITWKNIDLPYVDVVSNEREMIKRFvQVVKE
KDPDVIITYNGDNFDLPYLIKRAEKLGVRLVLGRDkehpEPKIQRMGDSF
AVEIKGRIHFDLFPVVRRTINLPTYTLEAVYEAVLGKTKSKLGAEEIAAI
WETEESMKKLAQYSMEDARATYELGKEFFPMEAELAKLIGQSVWDVSRSS
TGNLVEWYLLRVAYARNELAPNKPDEEEYKRRLRTTYLGGYVKEPEKGLW
ENIIYLDFRSLYPSIIVTHNVSPDTLEKEGCKNYDVAPIVGYRFCKDFPG
FIPSILGDLIAMRQDIKKKMKSTIDPIEKKMLDYRQRAIKLLANSYYGYM
GYPKARWYSKECAESVTAWGRHYIEMTIREIEEKFGFKVLYADTDGFYAT

IPGEKPELIKKKAKEFLNYINSKLPGLLELEYEGFYLRGFFVTKKRYAVI
DEEGRITTRGLEVVRRDWSEIAKETQAKVLEAILKEGSVEKAVEVVRDVV
EKIAKYRVPLEKLVIHEQITRDLKDYKAIGPHVAIAKRLAARGIKVKPGT
IISYIVLKGSGKISDRVILLTEYDPRKHKYDPDYYIENQVLPAVLRILEA
FGYRKEDLRYQSSKQTGLDAWLKr-----

#### >Deep

MILDADYITEDGKPIIRIFKKENGEFKVEYDRNFRPYIYALLKDDSQIDE VRKITAERHGKIVRIIDAEKVRKKFLGRPIEVWRLYFEHPQDVPAIRDKI REHSAVIDIFEYDIPFAKRYLIDKGLIPMEGDEELKLLAFDIETLYHEGE **EFAKGPIIMISYADEEEAKVITWKKIDLPYVEVVSSEREMIKRFLKVIRE** KDPDVIITYNGDSFDLPYLVKRAEKLGIKLPLGRDGS--EPKMQRLGDMT AVEIKGRIHFDLYHVIRRTINLPTYTLEAVYEAIFGKPKEKVYAHEIAEA WETGKGLERVAKYSMEDAKVTYELGREFFPMEAQLSRLVGQPLWDVSRSS TGNLVEWYLLRKAYERNELAPNKPDEREYERRLRESYAGGYVKEPEKGLW **EGLVSLDFRSLYPSIIITHNVSPDTLNREGCREYDVAPEVGHKFCKDFPG** FIPSLLKRLLDERQEIKRKMKASKDPIEKKMLDYRQRAIKILANSYYGYY GYAKARWYCKECAESVTAWGREYIEFVRKELEEKFGFKVLYIDTDGLYAT IPGAKPEEIKKKALEFVDYINAKLPGLLELEYEGFYVRGFFVTKKKYALI DEEGKIITRGLEIVRRDWSEIAKETQAKVLEAILKHGNVEEAVKIVKEYT EKLSKYEIPPEKLVIYEQITRPLHEYKAIGPHVAVAKRLAARGVKVRPGM VIGYIVLRGDGPISKRAILAEEFDLRKHKYDAEYYIENQVLPAVLRILEA FGYRKEDLRWQKTKQTGLTAWLNIKKk--

### >JDF-3

MILDVDYITENGKPVIRVFKKENGEFRIEYDREFEPYFYALLRDDSAIEE IKKITAERHGRVVKVKRAEKVKKKFLGRSVEVWVLYFTHPQDVPAIRDKI RKHPAVIDIYEYDIPFAKRYLIDKGLIPMEGEEELKLMSFDIETLYHEGE **EFGTGPILMISYADESEARVITWKKIDLPYVEVVSTEKEMIKRFLRVVKE** KDPDVLITYNGDNFDFAYLKKRCEKLGVSFTLGRDGS--EPKIQRMGDRF AVEVKGRVHFDLYPVIRRTINLPTYTLEAVYEAVFGKPKEKVYAEEIATA WETGEGLERVARYSMEDARVTYELGREFFPMEAQLSRLIGQGLWDVSRSS TGNLVEWFLLRKAYERNELAPNKPDERELARR-RggYAGGYVKEPERGLW DNIVYLDFRSLYPSIIITHNVSPDTLNREGCRSYDVAPEVGHKFCKDFPG FIPSLLGNLLEERQKIKRKMKATLDPLEKNLLDYRQRAIKILANSYYGYY GYARARWYCRECAESVTAWGREYIEMVIRELEEKFGFKVLYADTDGLHAT IPGADAETVKKKAMEFLNYINPKLPGLLELEYEGFYVRGFFVTKKKYAVI DEEGKITTRGLEIVRRDWSEIAKETQARVLEAILRHGDVEEAVRIVREVT EKLSKYEVPPEKLVIHEQITRELKDYKATGPHVAIAKRLAARGVKIRPGT VISYIVLKGSGRIGDRAIPFDEFDPTKHKYDADYYIENQVLPAVERILRA **FGYRKEDLRYQKTRQVGLGAWLKPKGkkk** 

## Sequence tree:

## Tree constructed using UPGMA

(((Pfu : 0.000998,

Deep :0.000998):0.000080,

((Tgo : 0.000905.

KOD :0.000905):0.000032,

JDF-3 :0.000937):0.000141):0.000067,

Vent :0.001144);